



# Practical Application of New Approach Methods (NAMs) in Developmental Toxicity Testing

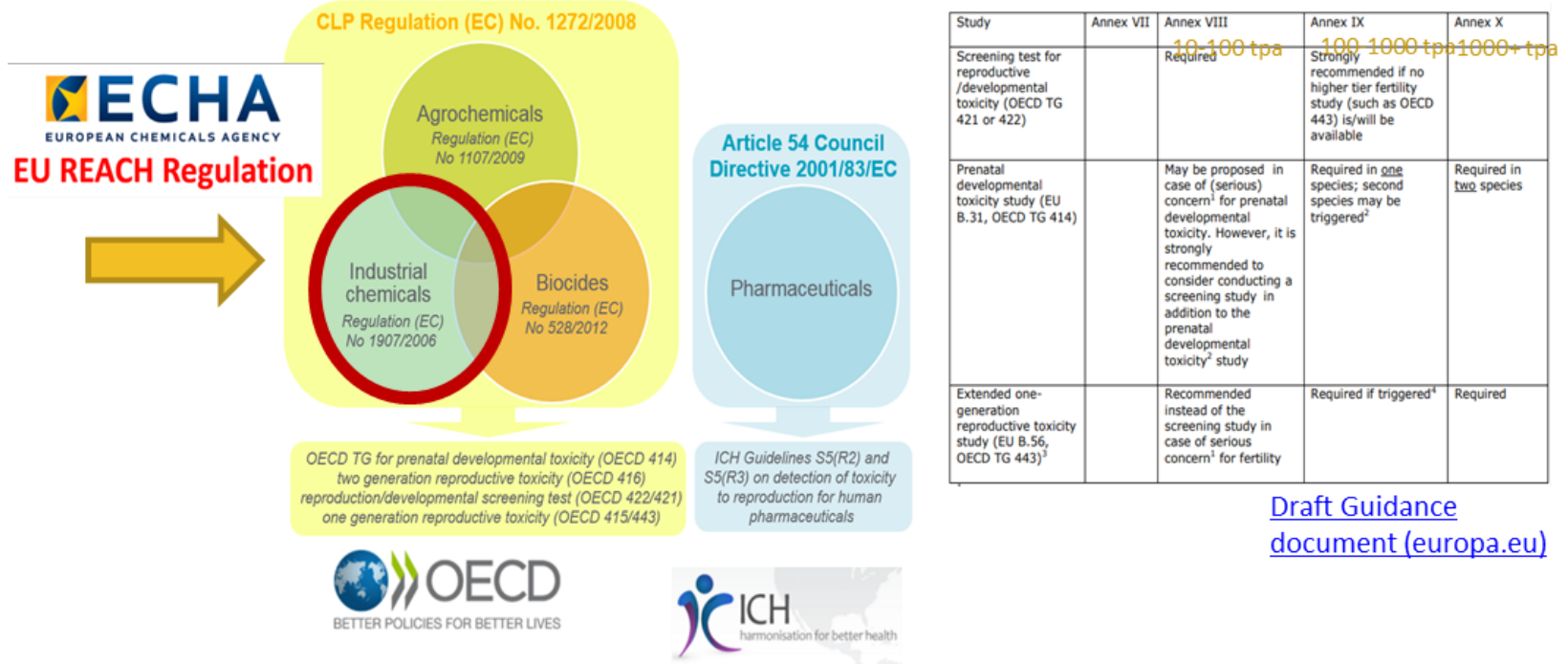
Dr Kathryn Wolton  
SEAC, Unilever, UK

[kathryn.wolton@unilever.com](mailto:kathryn.wolton@unilever.com)

[Safety & Environmental Sciences | Unilever](#)



# ... animal testing for DART endpoints is “required” under REACH



# ... animal testing for DART endpoints is “required” under REACH

Cosmetics  
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THE LONG READ: IN CONVERSATION WITH UNILEVER SAFETY & ENVIRONMENTAL ASSURANCE CENTRE (SEAC) EXECUTIVES

**The future of animal-free chemical testing? There’s a ‘big frustration’ in the scientific community, say Unilever execs**

By Kacey Culliney

20-Oct-2021 - Last updated on 20-Oct-2021 at 09:54 GMT

RELATED TAGS: Animal testing, Animal testing alternatives, cruelty-free, In vivo, Regulation, ECHA, REACH, Animal testing ban, Chemicals

**The 19th FRAME Annual Lecture, November 2022: Safer Chemicals and Sustainable Innovation Will Be Achieved by Regulatory Use of Modern Safety Science, Not by More Animal Testing**

Julia H. Fentem

Alternatives to Laboratory Animals  
2023, Vol. 51(2) 90–101  
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**Upholding the EU’s Commitment to ‘Animal Testing as a Last Resort’ Under REACH Requires a Paradigm Shift in How We Assess Chemical Safety to Close the Gap Between Regulatory Testing and Modern Safety Science**

Alternatives to Laboratory Animals  
2021, Vol. 49(4) 122–132  
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Julia Fentem, Ian Malcomber, Gavin Maxwell and Carl Westmoreland

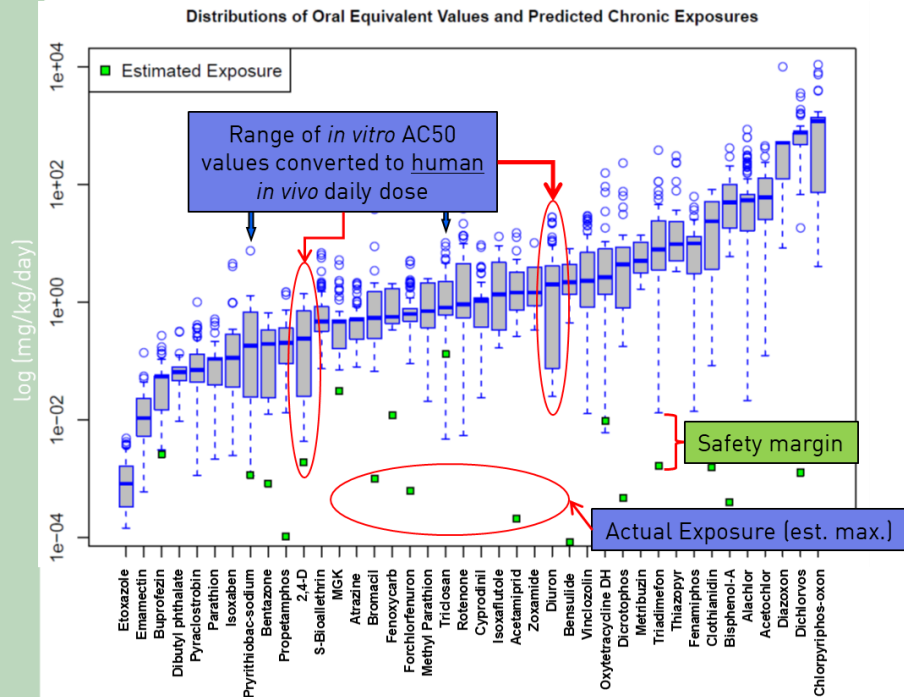
BETTER POLICIES FOR BETTER LIVES

harmonisation for better health

	Required if triggered <sup>1</sup>	Required
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[Draft Guidance document \(europa.eu\)](#)

# Safety without animal testing - Next Generation Risk Assessment (NGRA)



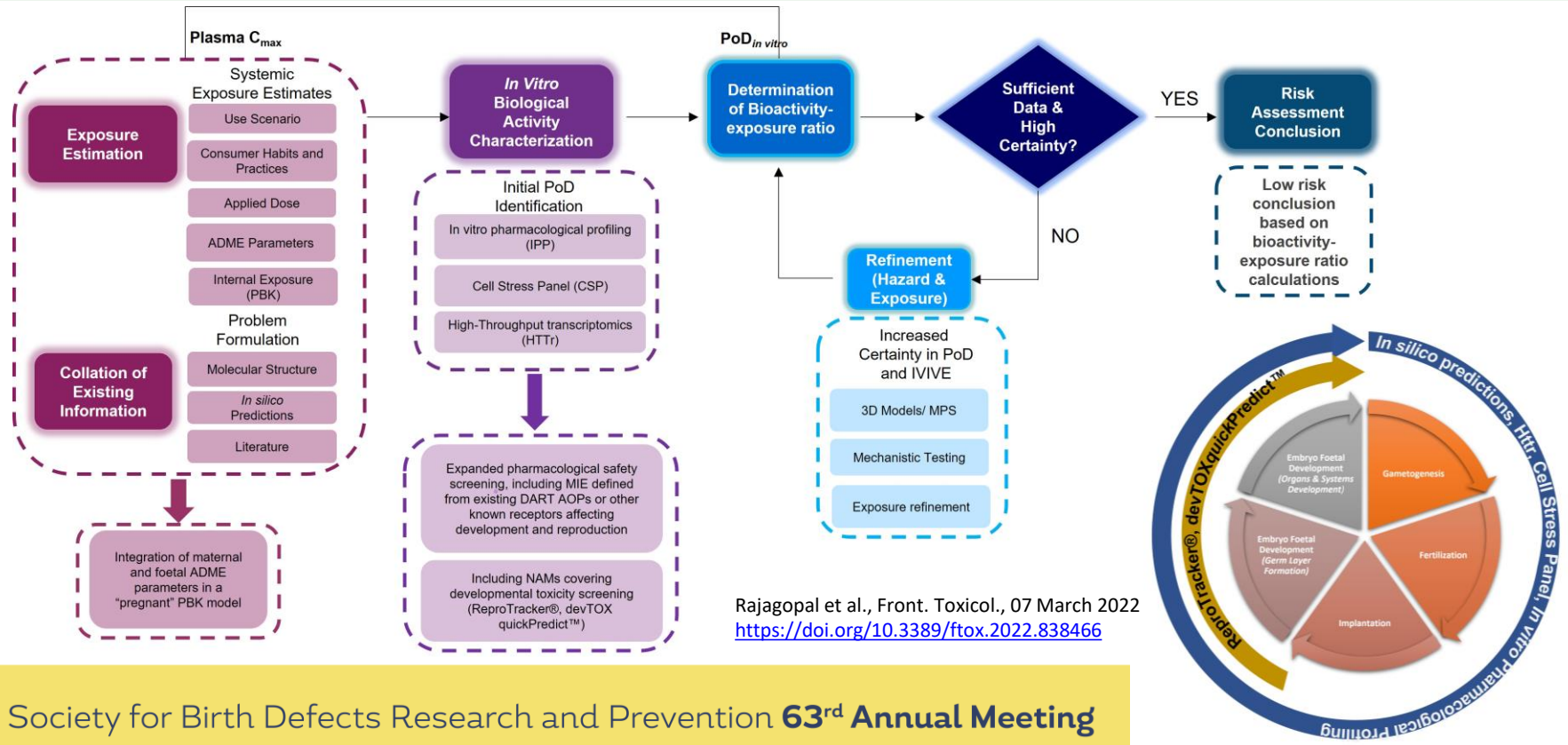
Rotroff, et al. *Tox.Sci* 2010, 117, 348-358

NGRA is defined as an **exposure-led, hypothesis-driven** risk assessment approach that **integrates New Approach Methodologies (NAMs)** to assure **safety without the use of animal testing**

The hypothesis underpinning this type of NGRA is that **if there is no bioactivity observed at consumer-relevant concentrations, there can be no adverse health effects.**

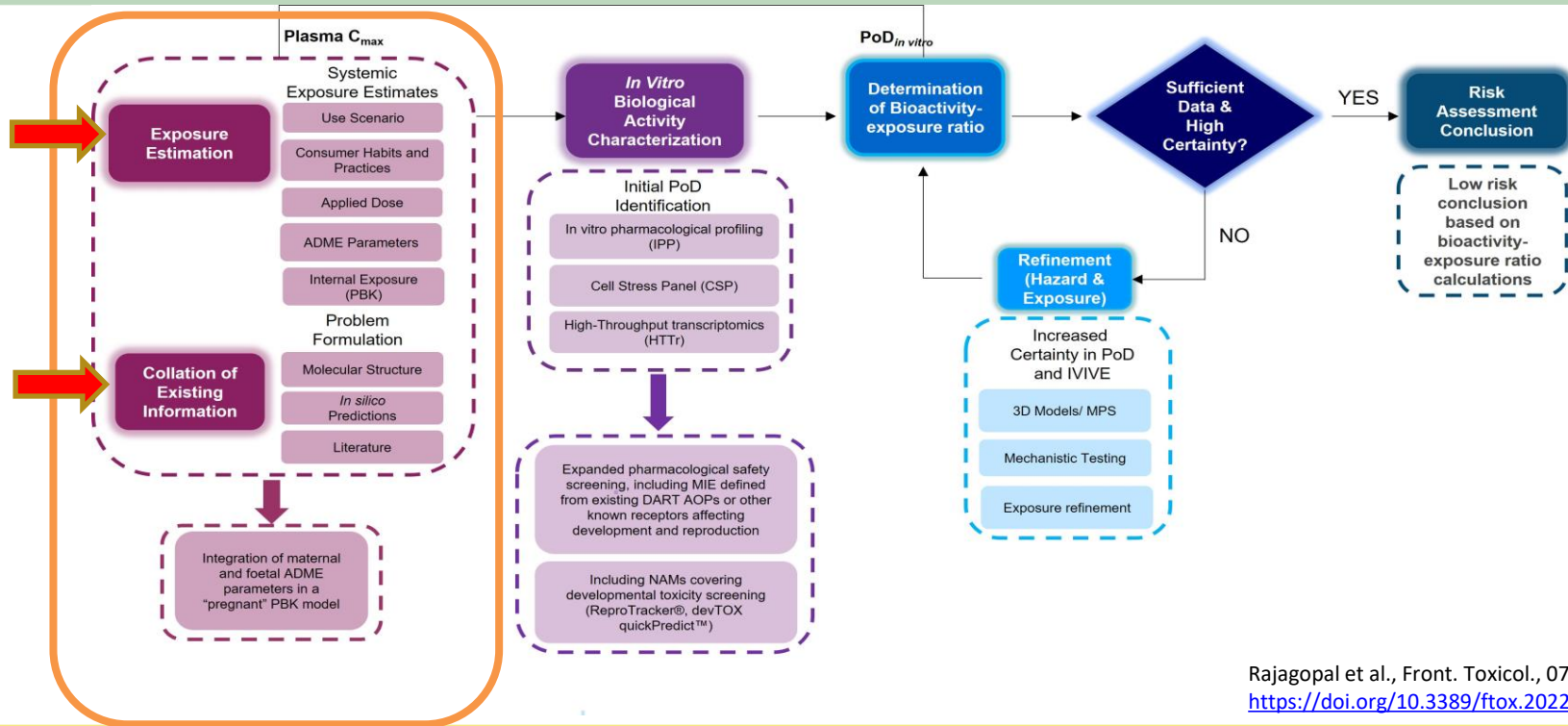
At no point does NGRA attempt to predict the results of high dose toxicology studies in animals.

# Our DART NGRA framework- a tiered and iterative approach

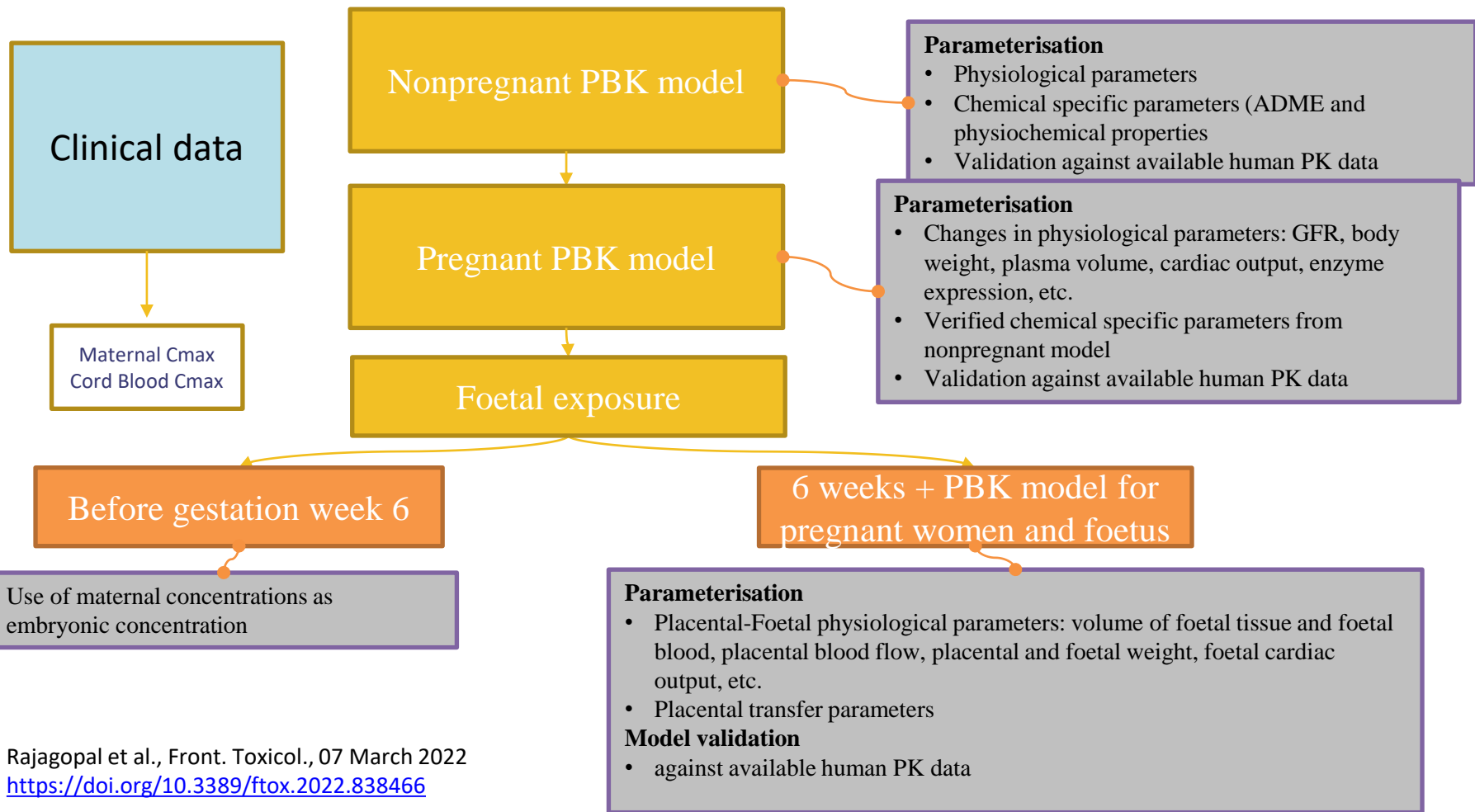


Rajagopal et al., Front. Toxicol., 07 March 2022  
<https://doi.org/10.3389/ftox.2022.838466>

# Our DART NGRA framework – the exposure module

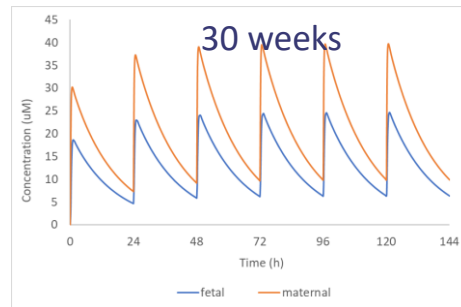
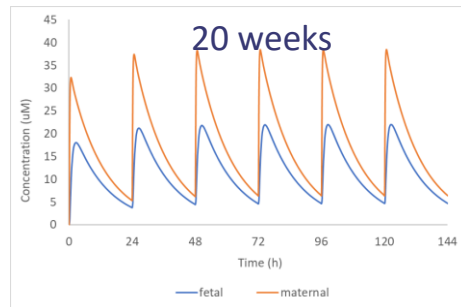
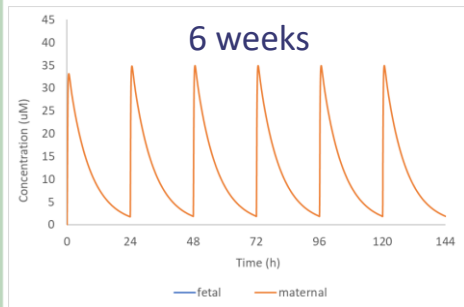


Rajagopal et al., Front. Toxicol., 07 March 2022  
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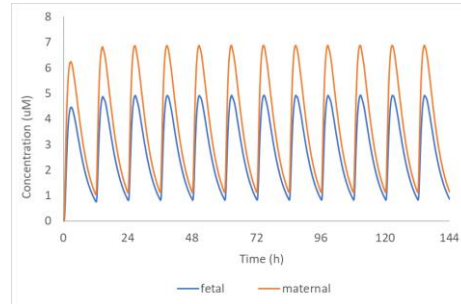
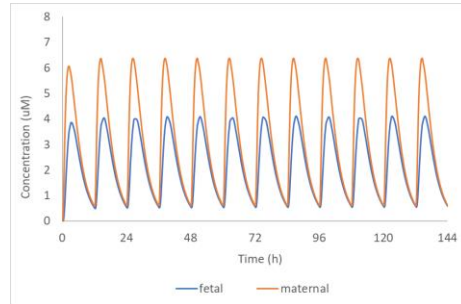
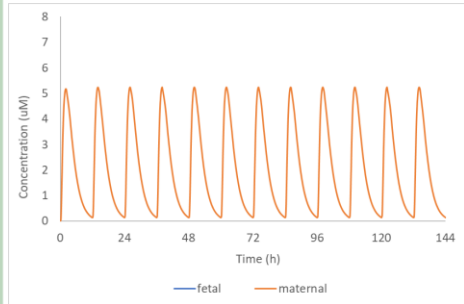


# PBPK modelling to derive maternal and fetal plasma Cmax

## Gestational age



Oral exposure  
of 200mg  
caffeine

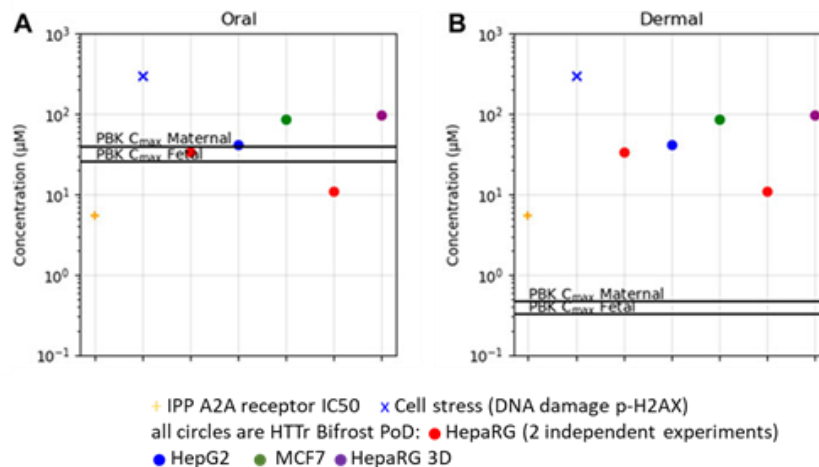


Dermal  
application of  
body lotion  
containing 1.5%  
caffeine

Improving Pregnancy Outcomes through Collaborative Research



	Oral: 200 mg/day			Dermal: 0.1% caffeine in body lotion		
	Week 6	Week 20	Week 30	Week 6	Week 20	Week 30
Maternal plasma C <sub>max</sub> (uM)	34.97	38.51	39.72	0.42	0.42	0.46
Foetal plasma C <sub>max</sub> (uM)		22.02	25.27		0.27	0.32



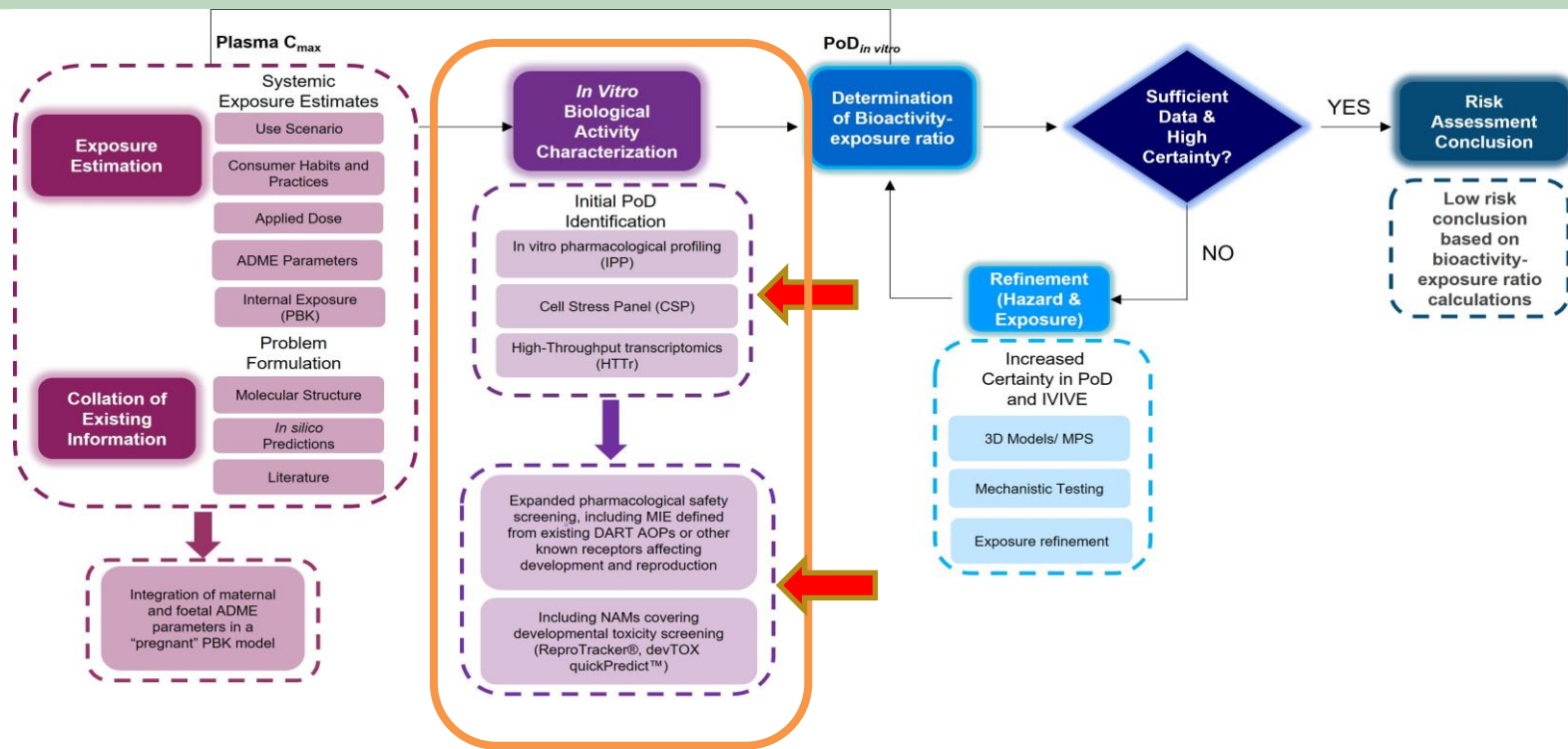
modified after  
Rajagopal et al.,  
Front. Toxicol., 07  
March 2022

<https://doi.org/10.3389/ftox.2022.8384>  
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Improving Pregnancy Outcomes through Collaborative Research

63<sup>rd</sup> Annual Meeting • June 2023 • Society for Birth Defects Research and Prevention

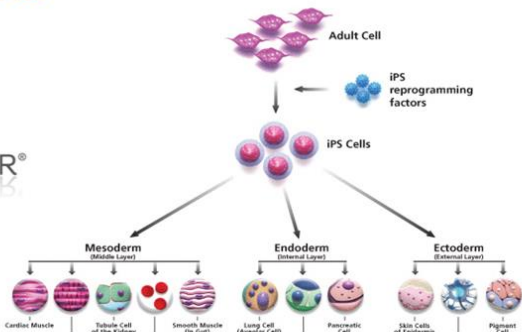
# Our DART NGRA framework – the bioactivity module



## iPSC based tools

devTOX<sup>qP</sup>  
quickPREDICT

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Toxicology in Vitro (2020), 63, 104746

## In vitro Pharmacological Profiling (IPP)

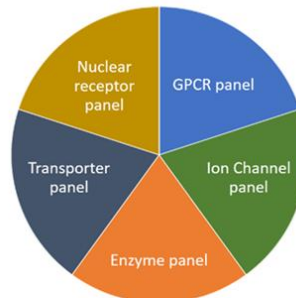
**PERSPECTIVES**

**Reducing safety-related drug attrition: the use of in vitro pharmacological profiling**

Johnnie Brown, Andrew J. Brown, Jacques Mollon, William Jarrold, Aron Fischer, Corey Hootner and Steven Ashwood

Abstract: In vitro pharmacological profiling is increasingly being used earlier in the drug discovery process to identify underlying cell target activity profiles that could hinder or halt the development of potential drugs in vivo. In the first time, this article compares and contrasts the use of in vitro pharmacological profiling, four major pharmacological categories (Receptor, GPCR, Ion Channel, Nuclear) and presents an overview and illustrated with examples of its impact on the drug discovery process. We hope that this will enable other companies and academic institutions to benefit from this knowledge and consider joining our collaborative knowledge sharing.

Decision: The high attrition rate in the drug discovery and development process is a major goal of the pharmaceutical industry. One of the major challenges to drug discovery is the high attrition rate in the development of potential drugs in vivo. In the first time, this article compares and contrasts the use of in vitro pharmacological profiling, four major pharmacological categories (Receptor, GPCR, Ion Channel, Nuclear) and presents an overview and illustrated with examples of its impact on the drug discovery process. We hope that this will enable other companies and academic institutions to benefit from this knowledge and consider joining our collaborative knowledge sharing.

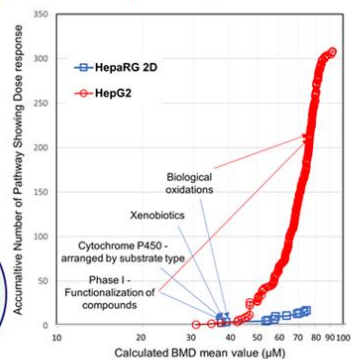


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## High-throughput Transcriptomics (HTTr)

- Use of full human gene panel ~ 21k
- 24 hrs exposure
- 7 concentrations
- 3 cell lines HepG2/ HepaRG/ MCF7
- 3D HepaRG spheroid

BMDexpress 2

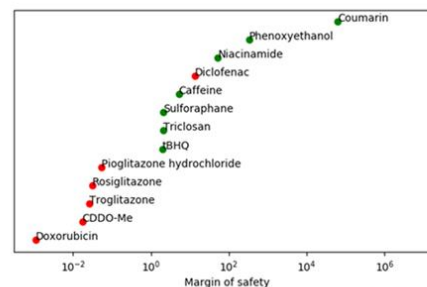


## Cell Stress Panel (CSP)

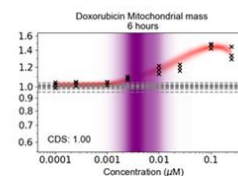
13 chemicals, 36 Biomarkers; 3 Timepoints; 8 Concentrations; ~ 10 Stress Pathways

**Exposure scenario adopted for chemical is low risk** (In vitro consumer goods perspective):  
 • Nicotinamide (Blood, cosmetic)  
 • Caffeine (Beverages, cosmetic)  
 • Phenoxethanol (cosmetic)  
 • Sulfasalazine (Food)  
 • DDDO (Antimicrobial)  
 • Triclosan (Antimicrobial)

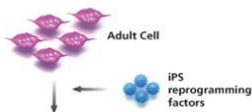
**Exposure scenario adopted for chemical is high risk** (In vitro consumer goods perspective):  
 • DDO (Med/Drug)  
 • DEM (Industrial chemical)  
 • Doxorubicin (Drug)  
 • Diclofenac (Drug)  
 • Pioglitazone (Drug)  
 • Rosiglitazone (Drug)



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Toxicol Sci (2020), 176, 11-33

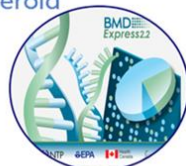


➤ Toxicol Sci. 2022 Aug 25;189(1):124-147. doi: 10.1093/toxsci/kfac068.

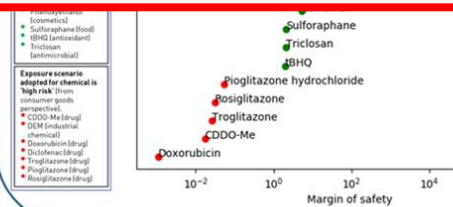
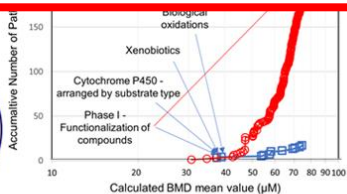
# Are Non-animal Systemic Safety Assessments Protective? A Toolbox and Workflow

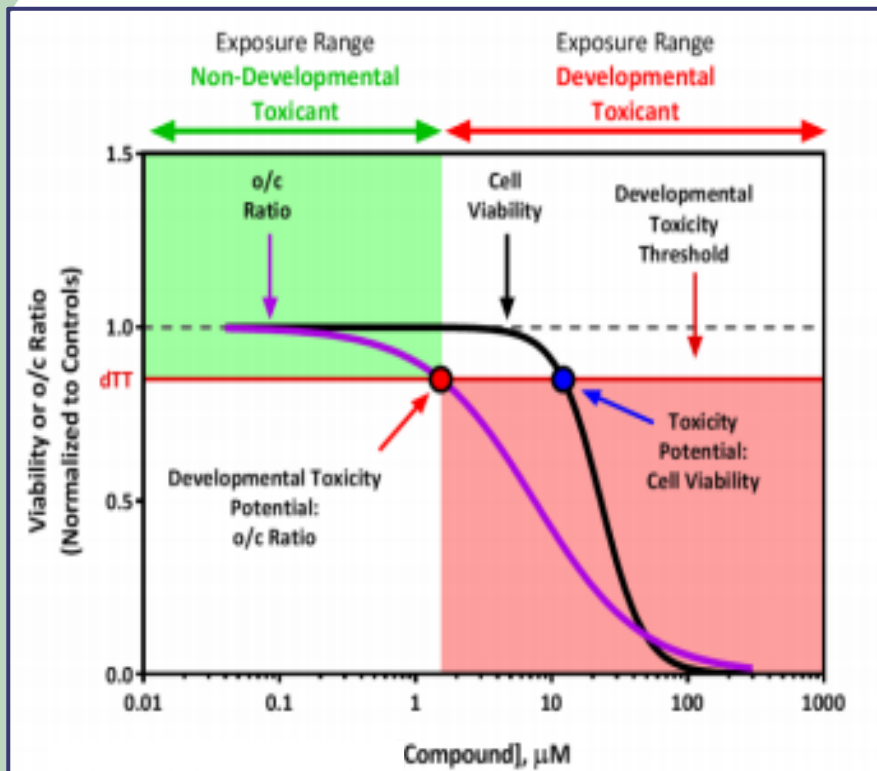
Alistair M Middleton <sup>1</sup>, Joe Reynolds <sup>1</sup>, Sophie Cable <sup>1</sup>, Maria Teresa Baltazar <sup>1</sup>, Hequn Li <sup>1</sup>, Samantha Bevan <sup>2</sup>, Paul L Carmichael <sup>1</sup>, Matthew Philip Dent <sup>1</sup>, Sarah Hatherell <sup>1</sup>, Jade Houghton <sup>1</sup>, Predrag Kukic <sup>1</sup>, Mark Liddell <sup>1</sup>, Sophie Malcomber <sup>1</sup>, Beate Nicol <sup>1</sup>, Benjamin Park <sup>2</sup>, Hiral Patel <sup>3</sup>, Sharon Scott <sup>1</sup>, Chris Sparham <sup>1</sup>, Paul Walker <sup>2</sup>, Andrew White <sup>1</sup>

3D HepaRG spheroid



BMDExpress 2





This assay looks at the metabolic perturbation of undifferentiated iPSCs.

Spent media is analysed for the quantity of two metabolites (ornithine and cystine) and a ratio between the two is calculated. Cell viability is also assessed. The dose response curves are used to establish a PoD for developmental toxicity – where the curve drops below the threshold value (dTT) a test article is concluded to have developmental toxicity potential

> [Toxicol Sci. 2020 Apr 1;174\(2\):189-209. doi: 10.1093/toxsci/kfaa014.](https://doi.org/10.1093/toxsci/kfaa014)

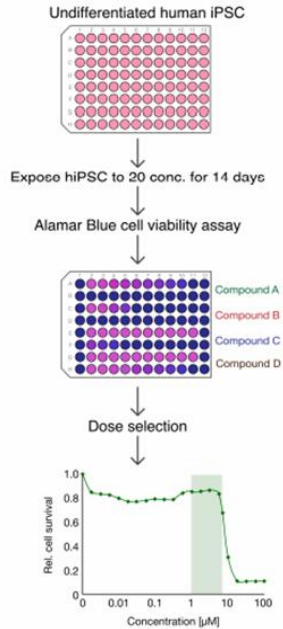
### Profiling the ToxCast Library With a Pluripotent Human (H9) Stem Cell Line-Based Biomarker Assay for Developmental Toxicity

Todd J Zurlinden<sup>1</sup>, Katerine S Sali<sup>1</sup>, Nathaniel Rush<sup>1</sup>, Parth Kothiyia<sup>1</sup>, Richard S Judson<sup>1</sup>, Keith A Houck<sup>1</sup>, E Sidney Hunter<sup>2</sup>, Nancy C Baker<sup>3</sup>, Jessica A Palmer<sup>4</sup>, Russell S Thomas<sup>1</sup>, Thomas B Knudsen<sup>1</sup>

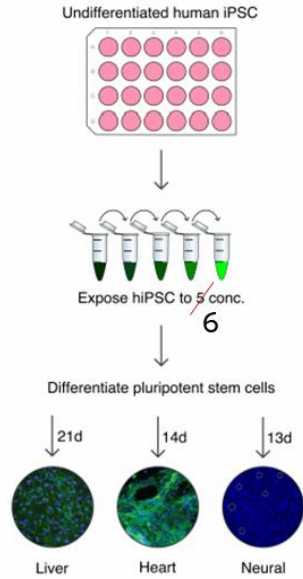


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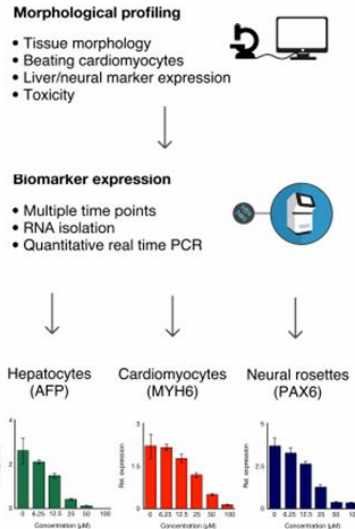
### 1. Dose range finding



### 2. stem cell differentiation



### 3. biomarker analysis



This assay looks at changes to iPSC differentiation (to cardiac, liver and neural cell lineages)

Biomarker analysis is performed using genes which are specific for all 3 germ layers and genes which are specific to each of the 3 lineages

The assay was originally set up to give a binary response if a substance is teratogenic or not. SEAC have been working with Toxys to further develop the assay, and to calculate a PoD for inclusion within our NGRA toolbox (unpublished work)

Figure 1: Schematic representation of the experimental setup of ReproTracker.



partly published in Jamalpoor et al 2022 <https://doi.org/10.1002/bdr2.2001>

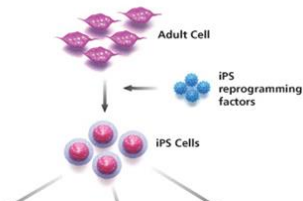
Improving Pregnancy Outcomes through Collaborative Research

## iPSC based tools

devTOX<sup>qp</sup>  
quickPREDICT



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## In vitro Pharmacological Profiling (IPP)

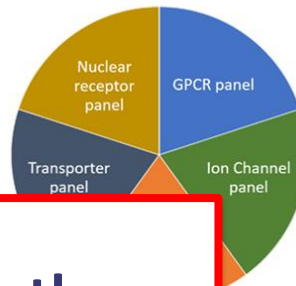
**PERSPECTIVES**

**A GUIDE TO DRUG DISCOVERY — OPENING**

**Reducing safety-related drug attrition: the use of in vitro pharmacological profiling**

Johnnie Davies, Andrew J. Brown, Jacques Nantoin, Wolfgang Jantschek, Aron Sorkin, Corey Hodson and Steven Ashwood

Abstract: In vitro pharmacological profiling is increasingly being used earlier in the drug discovery process to identify undesirable off-target activity profiles that could hinder or halt the development of candidate drugs or even lead to market withdrawal of drug candidates and are designed to prevent serious ADME toxicities in clinical studies. The in vitro pharmacological assay that is described required the synthesis of new chemical entities on the same carbon of interest (C<sub>1</sub>) as the biologically expressed human voltage-gated potassium channel subunit Kv4.2 (Kv4.2). The molecules which bind to Kv4.2 can then be profiled in a panel of assays to identify off-target activity. The authors discuss the advantages of this approach and the importance of the ADME toxicology assays in a candidate's regulatory profile.

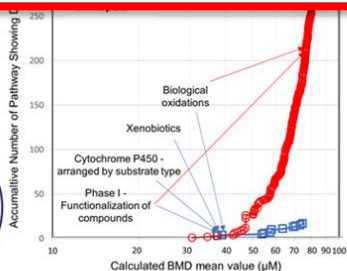
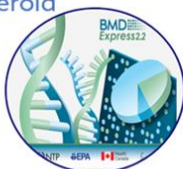


Does this bioactivity module cover the important cellular and intercellular processes for DART?

## High-throughput

- Use of full human cell lines
- ~ 21k
- 24 hrs exposure
- 7 concentrations
- 3 cell lines HepG2/ HepaRG/ MCF7
- 3D HepaRG spheroid

BMDexpress 2

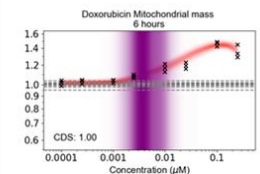
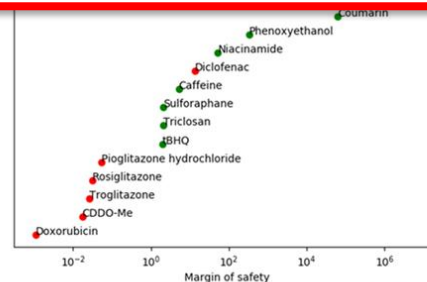


**Exposure scenario adopted for chemical is low risk** (from consumer goods perspective)

- Nicotinamide (food, cosmetic)
- Caffeine (beverages, cosmetic)
- Phenytoin (anticonvulsant)
- Sulfasalazine (food)
- BMS (antimicrobial)
- Triclosan (antimicrobial)

**Exposure scenario adopted for chemical is high risk** (from consumer goods perspective)

- CDDO-Me (drug)
- DEM (industrial chemical)
- Doxorubicin (drug)
- Diclofenac (drug)
- Pioglitazone (drug)
- Rosiglitazone (drug)



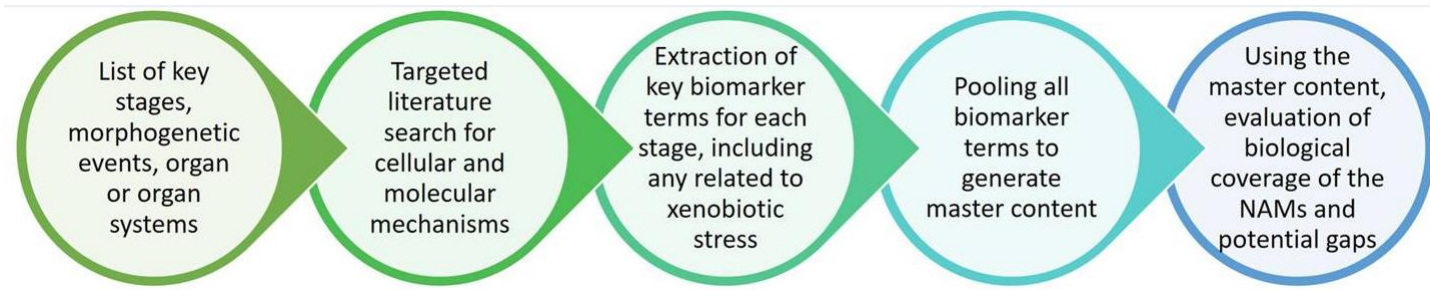
Concentrations; ~ 10

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Toxicol Sci (2020), 176, 11-33

# Biological coverage

- Morphological and physiological processes are underpinned by cellular events
- These cellular events in turn are orchestrated by molecular signalling events
- Hypothesis : Gathering the cellular and molecular information pertaining to embryonic development is a useful approach for developing a master list of biological markers of significance



[Front Toxicol.](#) 2022; 4: 838466.

Published online 2022 Mar 7. doi: [10.3389/tox.2022.838466](https://doi.org/10.3389/tox.2022.838466)

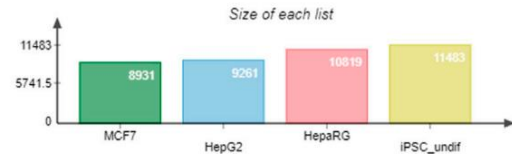
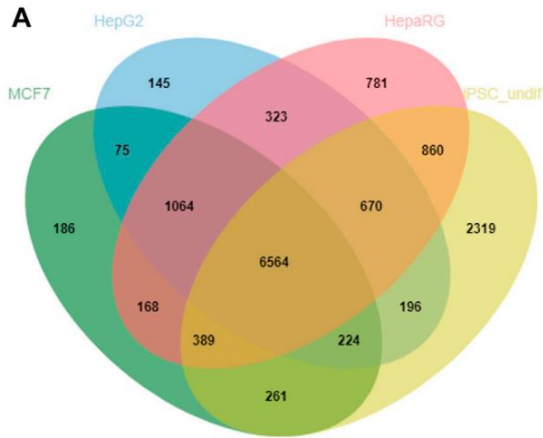
Beyond AOPs: A Mechanistic Evaluation of NAMs in DART Testing Rajagopal et al., 2022

~3500 genes

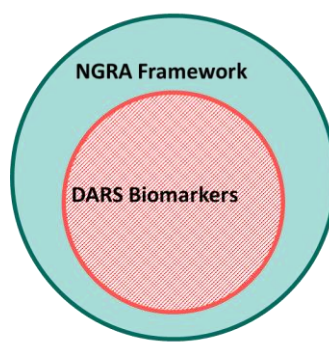


# Baseline gene expression in the DART NGRA framework

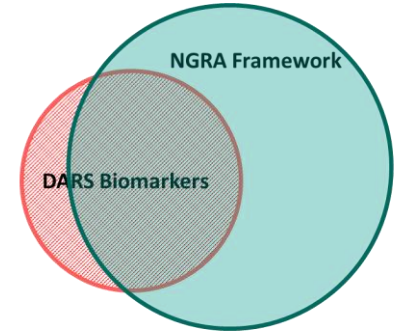
- HepG2, MCF-7, HepaRG, hiPSCs



14,225 genes in total

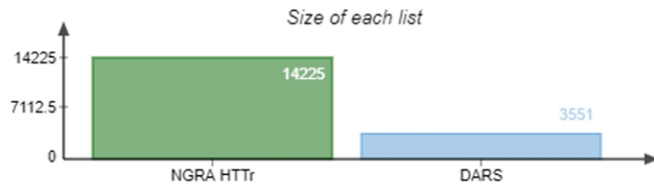
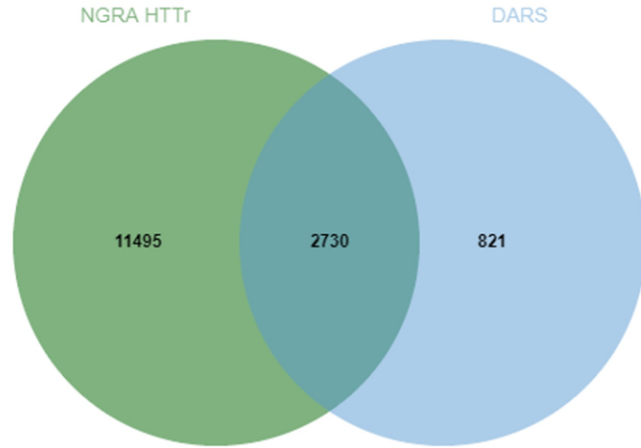


versus



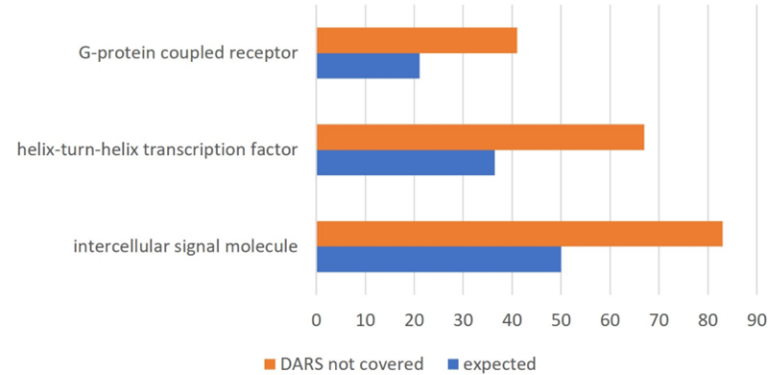
Differentiated hiPSCs not included in this study but in scope for future work

## Coverage



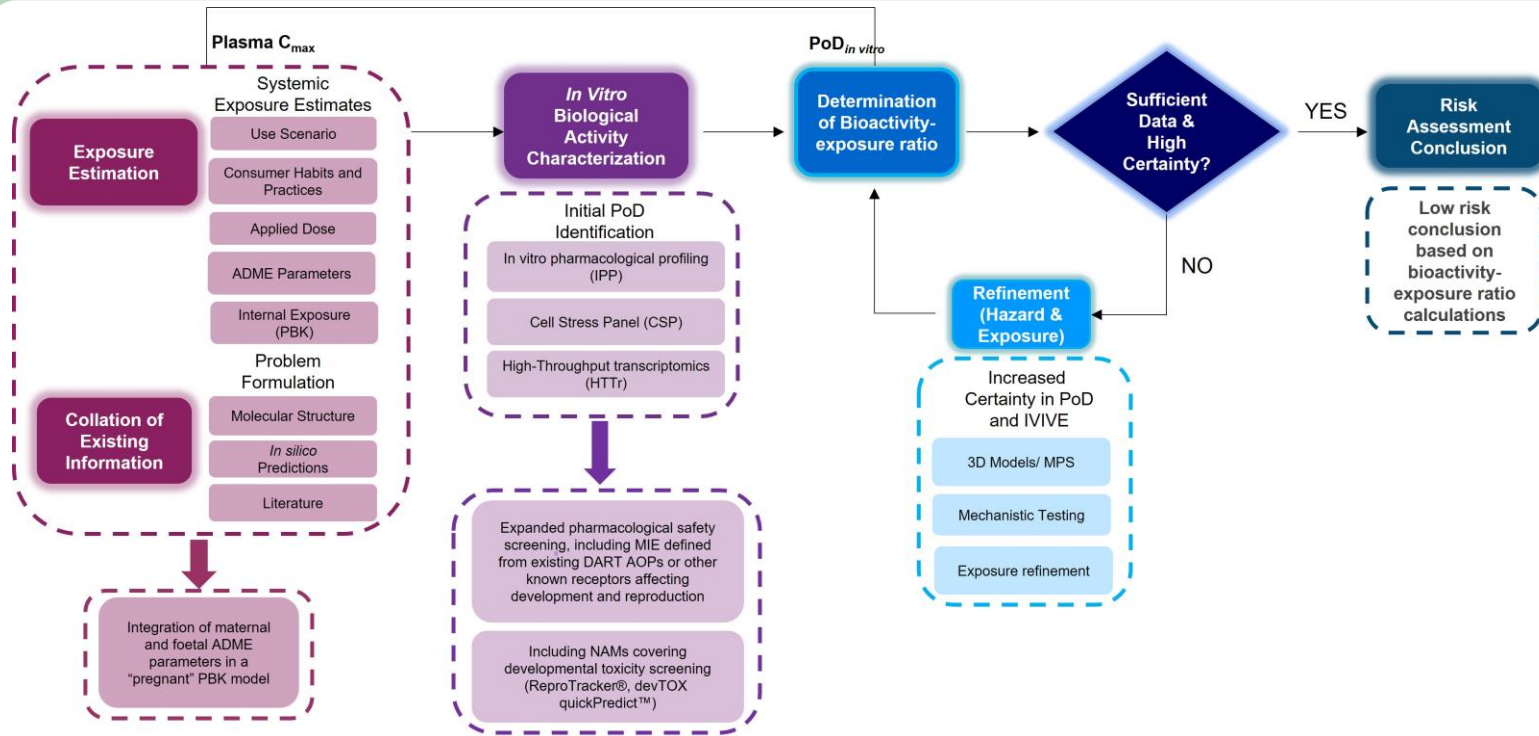
## Gaps

### Gaps - Panther Protein Classes

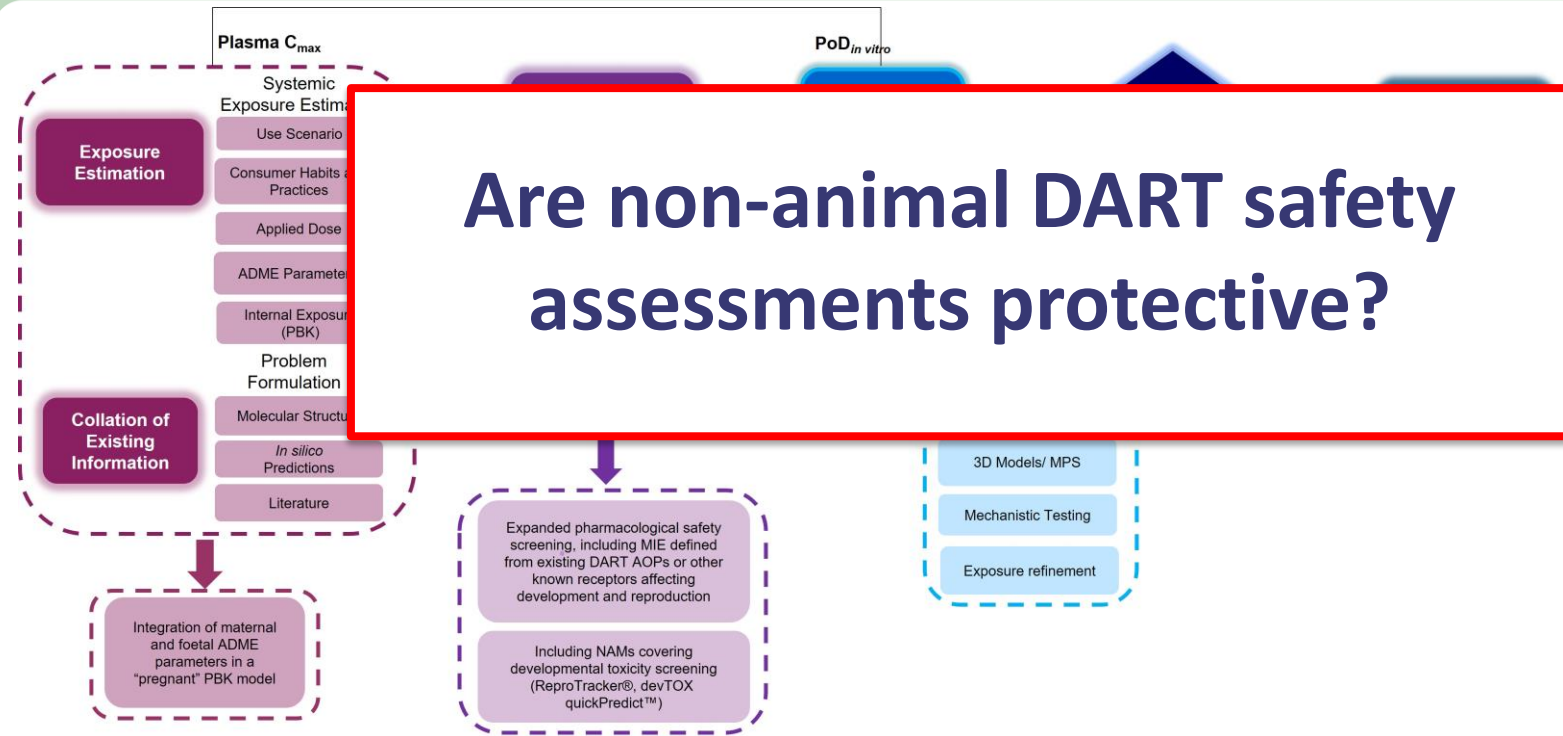


- GPCRs (some present in IPP)
- HTH transcription factors (mainly homeobox transcription factors)
- Intercellular signal molecules (chemokines, cytokines, growth factors, neurotropic factors, peptide hormones)

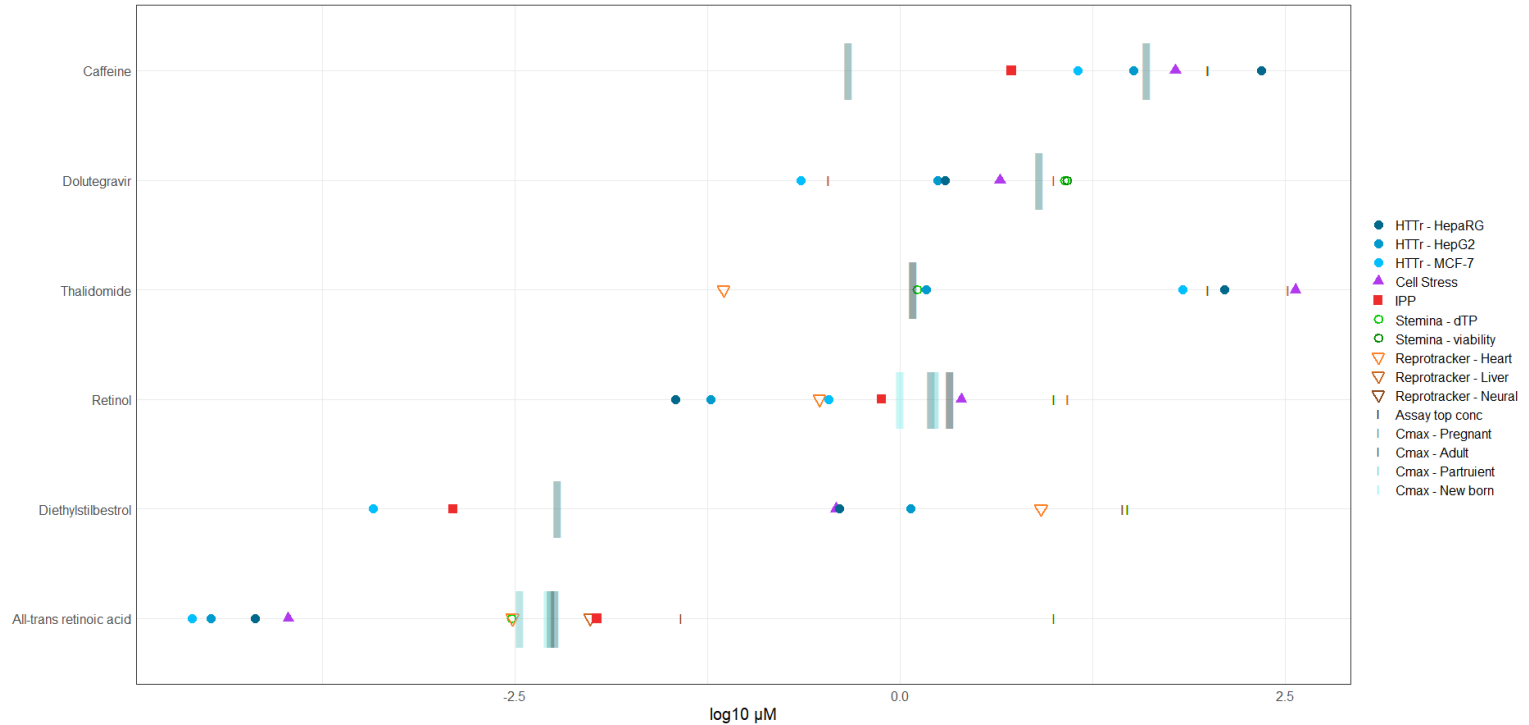
# Our DART NGRA framework- a tiered and iterative approach



# Our DART NGRA framework- a tiered and iterative approach



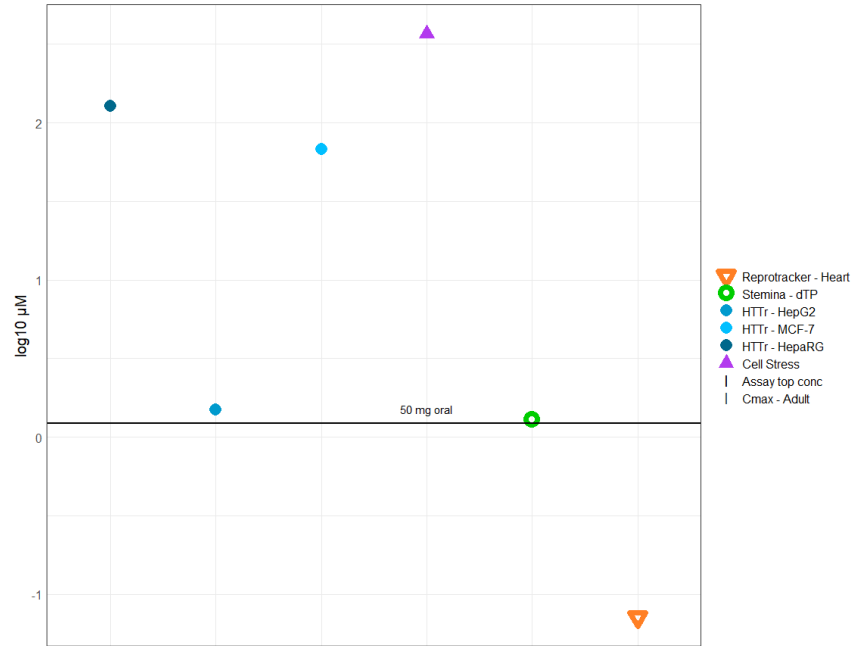
# Is our DART framework protective?



# Thalidomide

## Exposure Scenario

Oral 50 mg tablet daily during pregnancy = risk for pregnancy



## Outcome

Bioactivity detected at or below the plasma Cmax = risk for pregnancy

The lowest PoD is coming from the reprotracker cardiac differentiation protocol, with the devTOX qP PoD and HTTR data from HepG2 also providing information on risk

# Diethylstilbesterol

## Exposure Scenario

Oral 0.5 mg tablet  
daily during pregnancy  
= risk for pregnancy



## Outcome

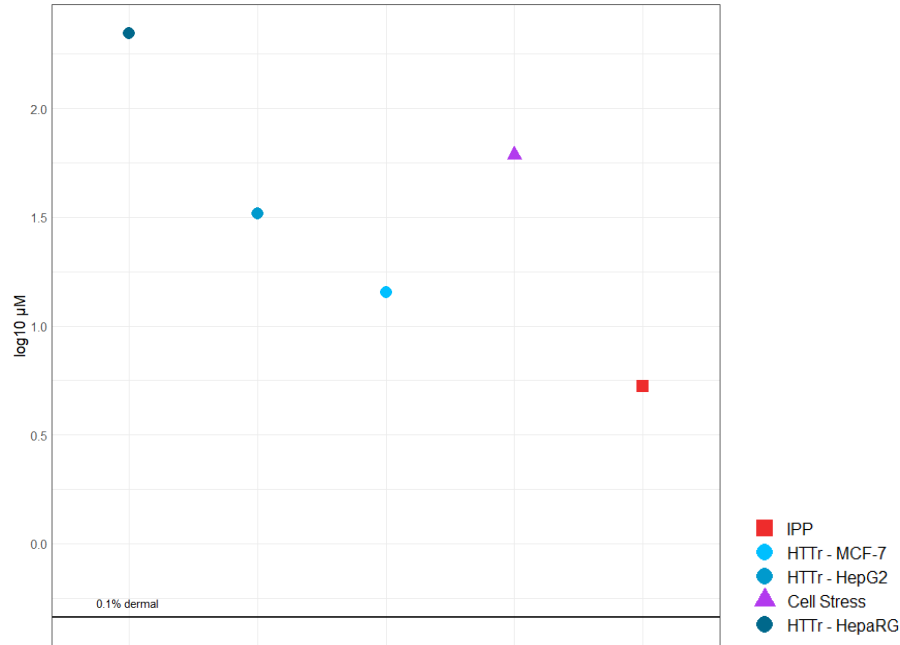
Bioactivity detected at or  
below the plasma Cmax =  
risk for pregnancy

The lowest PoD is coming  
from HTTR data from  
MCF7 cells expressing the  
Estrogen receptor, and  
from IPP (ER binding)

# Caffeine

## Exposure Scenario

Daily dermal application of 0.1% caffeine in a body lotion = low risk for pregnancy



## Outcome

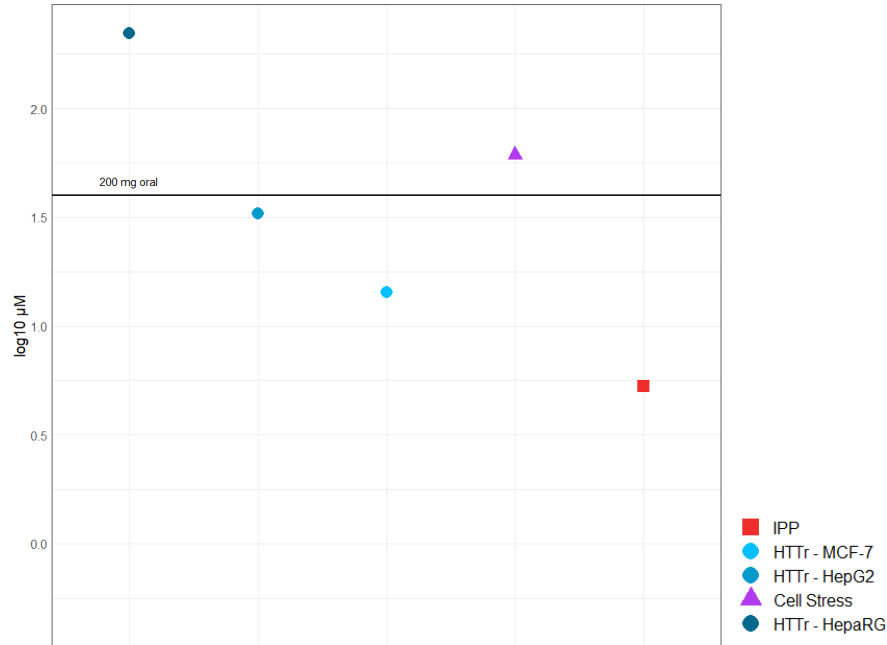
Bioactivity across the DART toolbox occurring at much higher concentrations than the plasma  $C_{max}$  = low risk for pregnancy



# Caffeine

## Exposure Scenario

Oral (beverage)  
consumption of <200 mg  
caffeine daily during  
pregnancy = low risk



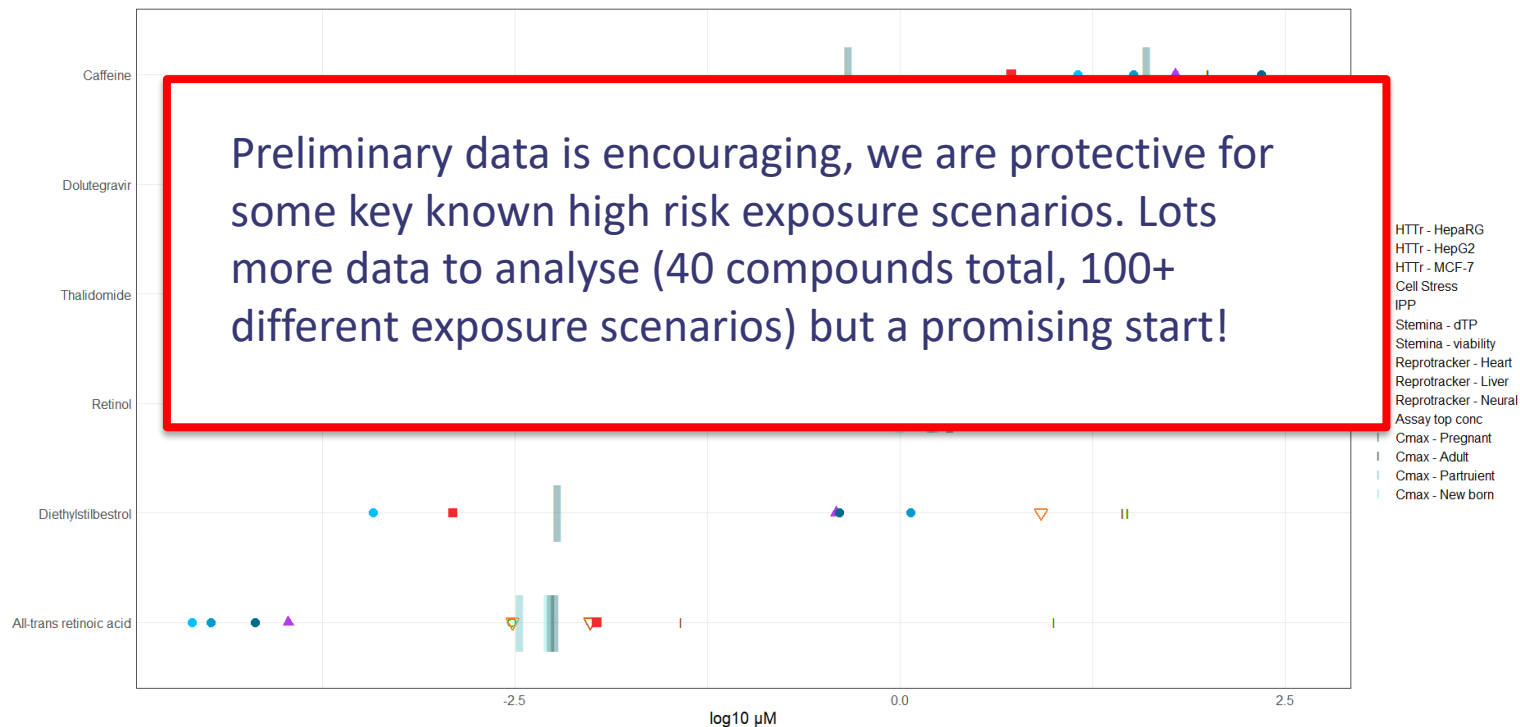
## Outcome

Bioactivity detected at or  
below the plasma C<sub>max</sub> =  
uncertain risk for  
pregnancy

The lowest PoD is coming  
from IPP (5.4μM) which is  
binding to the adenosine  
A1 receptor (ADORA1)

This would trigger  
additional tiers of testing  
and further refinement of  
the risk assessment

# Is our DART framework protective?





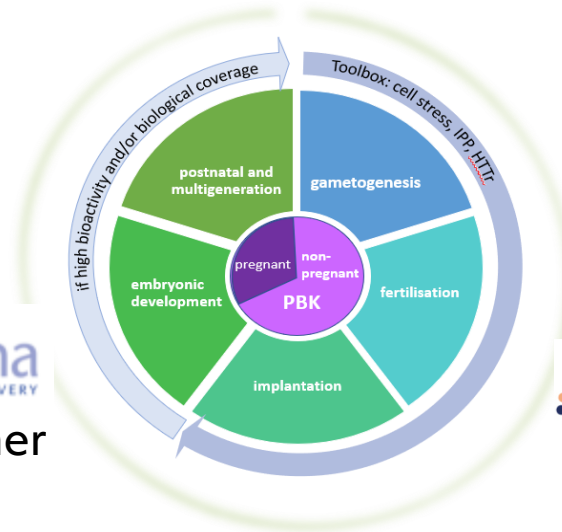
# ACKNOWLEDGMENTS



Giel Hendriks  
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Luke Flatt  
Marleen Feliksik



Jessica Palmer



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