

# The Journey Towards Confidence --

## Bottom-Up PBK Modelling for Benzophenone 4

Hequn Li  
(Science Leader at Safety and Environmental  
Assurance Centre, Unilever)



Unilever



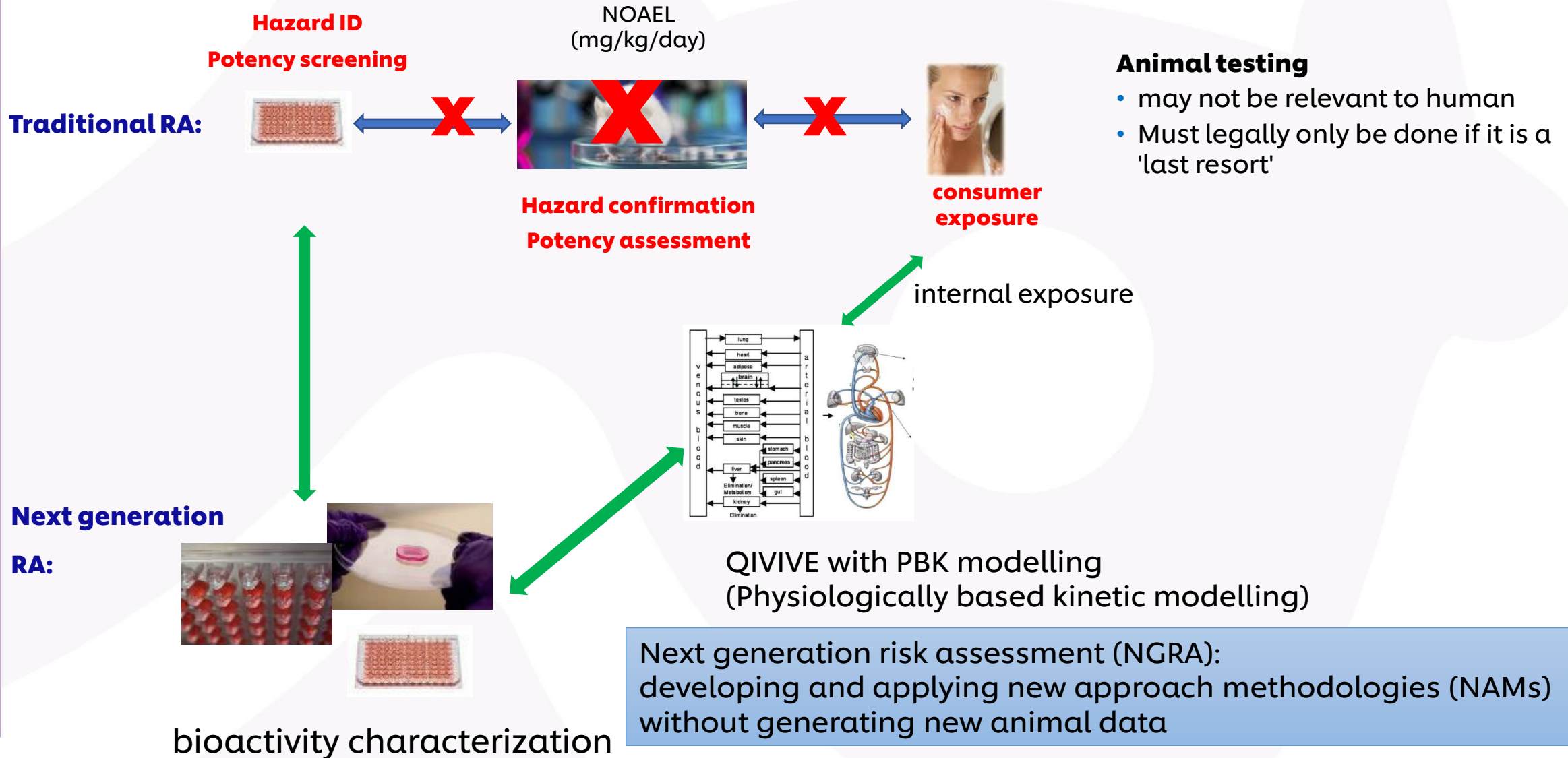
# Making safety decisions without generating data in animals



- Regulations ban animal testing of cosmetic products and their ingredients in over 40 countries
- Many of our consumers do not want to buy products associated with animal testing

**At Unilever, our products must be safe**

# From traditional risk assessment to next generation risk assessment



# Benzophenone-4 (BP-4) case study: Objectives & Approach

- **BP-4 is an UV-filter ingredient used in sunscreen cosmetics** to prevent sunburns or photodegradation by inhibiting the infiltration of UV light.
- **Background and Objective of the case study on BP-4:**
  - Work with Cosmetic Europe Long Range Science Strategy (LRSS) on developing new approaches for safety assessment without using animals
  - Unilever led a few case studies within the LRSS, including BP4
  - Objective: to assess whether a tiered NGRA approach is sufficiently protective for making safety decisions

Focus of this presentation

**PBK model development of BP-4 based on NAMs to make estimates of systemic exposure levels in NGRA**

**PBK modelling platform: GastroPlus v9.8**

**PBK Modelling Workflow and reporting template: compliant with OECD 2021 and WHO guidance**



# Exposure assessment: From topically applied dose to internal concentrations (e.g. $C_{max}$ , AUC)

## External dose

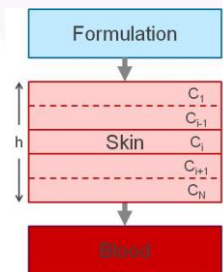
- Route of exposure
- Consumer use (Habits & Practices)
- Applied dose (external concentration)
- Duration and frequency



## ADME parameters

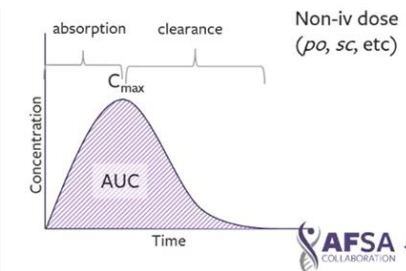
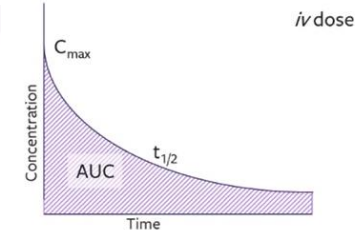
Absorption  
Distribution  
Metabolism  
Elimination

- Skin penetration
- Phys-chem properties
- Hepatic clearance
- Fraction unbound
- Blood:plasma ratio



## Kinetic profile of chemical

Physiologically-based kinetic (PBK) modelling  
– Internal concentration (plasma, urine, organ-level)



Images from: AFSA training module "Dosimetry (Internal Exposure)", 2022

## External applied dose

- 5% BP-4 in Sunscreen product
- 18g/day, two times, 9g/application, on body and face 17500cm<sup>2</sup> (Based on SCCS NoG)
- To closely simulate the real-life use scenarios, it was assumed that European individuals
  - use this sunscreen body lotion in the daytime
  - each day apply the first dose (9g) at 9 am and the second dose (9g) at 2 pm
  - following a meal (fed condition) and take a shower each morning at 7 am

	Dosage Form	Dose [mg]	TD Dose Vol [ml]	Start [h]	End [h]	Physiology or .cat file	PBPK Physiology or .pbk file
	TD: Liq Soln	450	9	0	22	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	5	22	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	24	46	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	29	46	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	48	70	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	53	70	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	72	94	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	77	94	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	96	118	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	101	118	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	120	142	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	125	142	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	144	166	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	149	166	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	168	190	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	173	190	Human - Physiological - Fed	european individual
	TD: Liq Soln	450	9	192	214	Human - Physiological - Fed	european individual

Mixed Multiple Doses (MMD) in GastroPlus to reflect multiple doses of specific amounts at varying intervals.

# PhysChem and ADME data generation and parameterisation



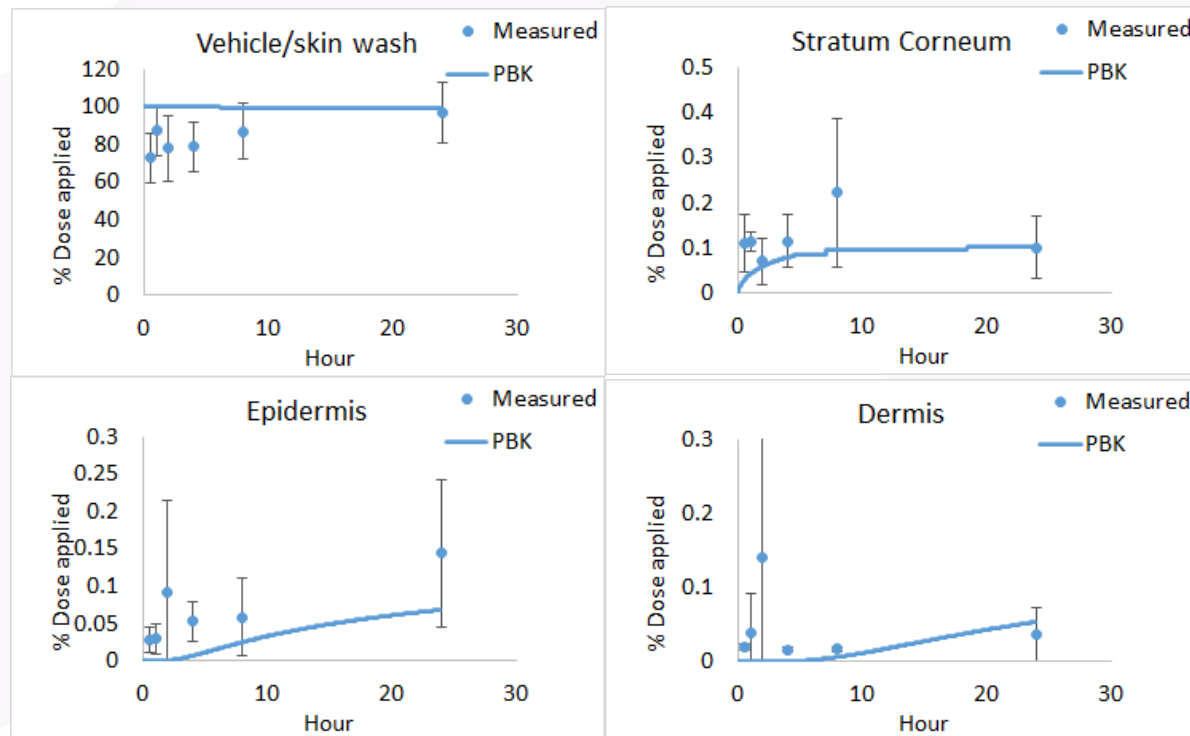
## Strategy:

- We took a stepwise approach to data generation and refinement,
- using relevant and robust approaches for parameter determination
- support the reliability of input parameters and provide a sound biological basis for the model structure

	Value	Source
<b>Molecular weight</b>	308.3 g/mol	
<b>Log P</b>	1.28	ADMET predictor
<b>pKa</b>	acid 8.89, acid 0.5	ADMET predictor
<b>Fraction unbound in plasma (<math>f_{up}</math>)</b>	0.0157	<b>Measured</b>
<b>Blood: plasma ratio</b>	0.6	<b>Measured</b>
<b>Renal excretion</b>	0.11L/h	GFR*Fup

# Dermal absorption with *ex vivo* skin pen data

- *Ex vivo* skin penetration study designed according to *Davis et al. 2011* meeting OECD and SCCS guidance
- BP-4 in relevant formulation (oil in water emulsion)
- Full time course data in skin layers and kinetic in receptor fluid



**Results**

- Very low skin penetration, therefore big variance of the data
- data used to fit important skin penetration parameters, i.e. diffusivity and partitioning parameters, in the TCAT module of GastroPlus

**Receptor fluid:  
Below the Limit of Quantification**



# Hepatic clearance

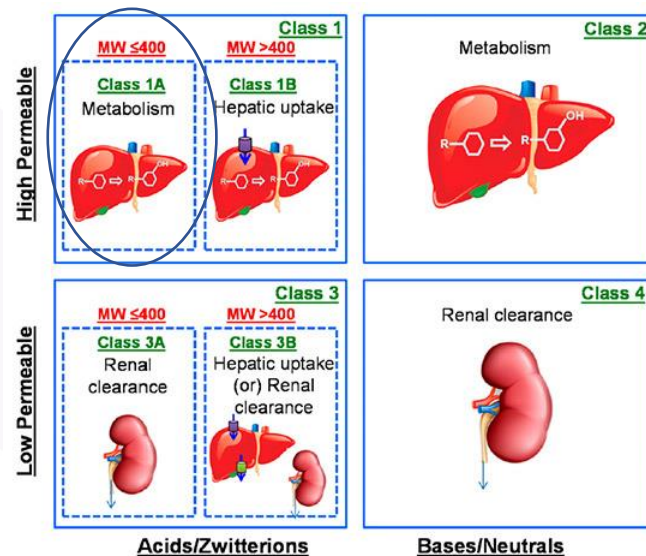
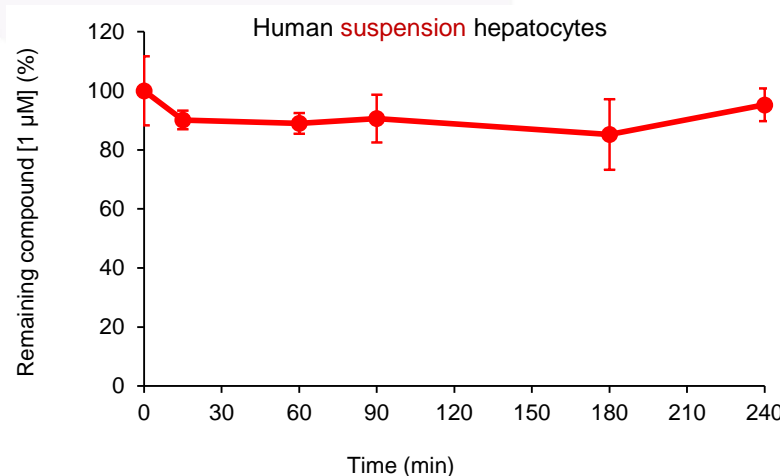
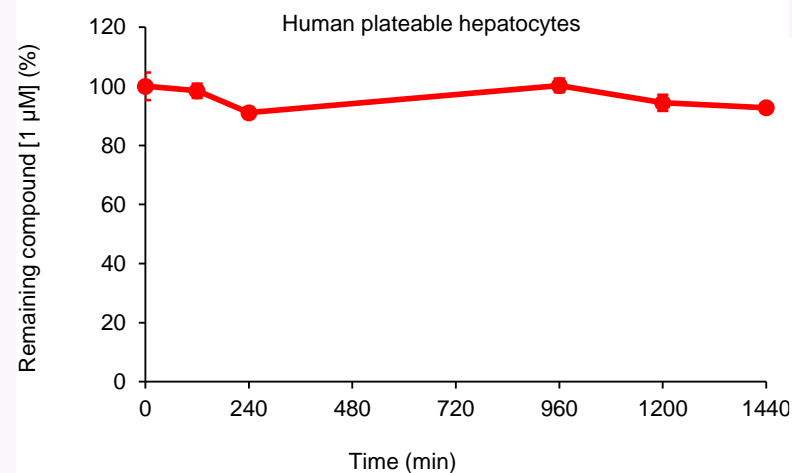
## In silico:

BP-4 was predicted to be mainly cleared via liver metabolism

## In vitro data:

Primary human hepatocyte assay (using both suspension and plated cells):

Hepatic intrinsic clearance <2.5L/h (Below LOQ)



Initial ECCS (Extended Clearance Classification System):

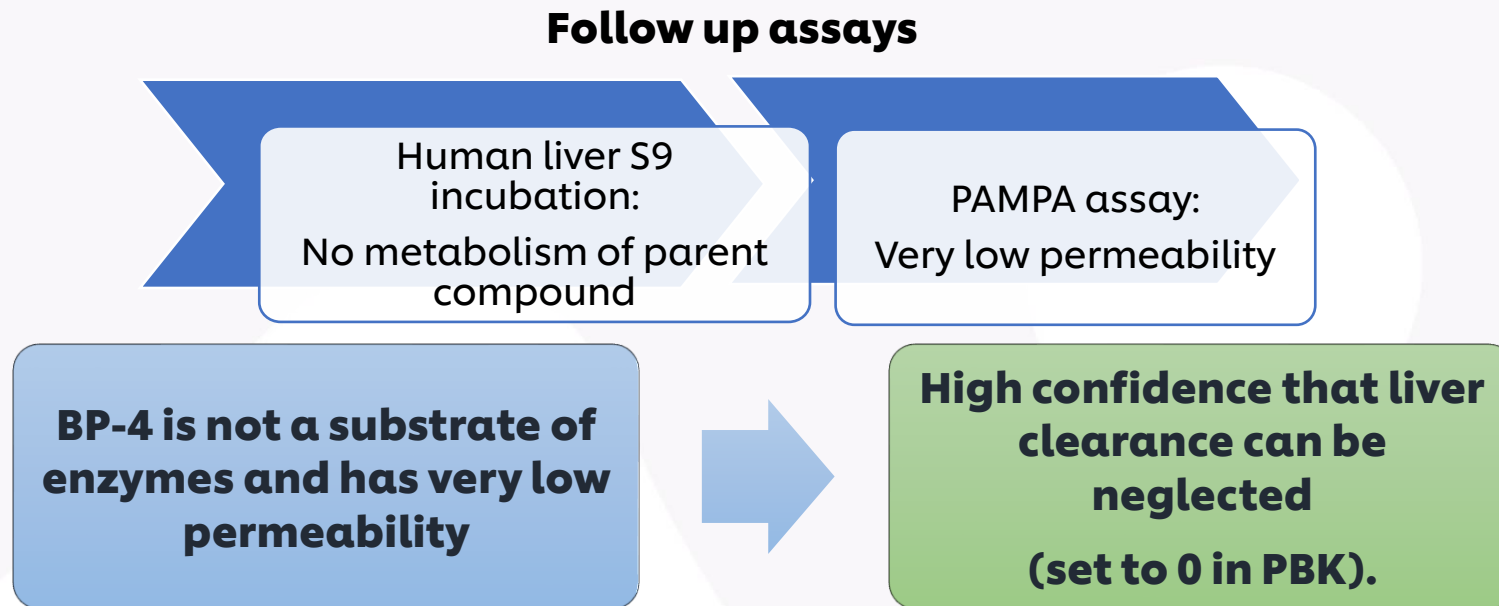
Class 1A

(Varma et al., 2015)

**No metabolism of BP-4 seen in hepatocytes, conflicting with the ECCS Class 1A prediction.**

## Two hypotheses:

- 1) BP-4 is not a substrate of hepatic enzymes
- 2) BP-4 has low membrane permeability



If BP-4 is not metabolised by the liver – what is the route of elimination?  
How is BP-4 taken up by the cells?

# Back to problem formulation...

## Understanding chemical organ distribution and renal clearance

### In silico predictions:

- BP-4 is an anion sulphonate
- Likely to be a substrate of Organic anion transporters (OATs)
- Renal clearance may be higher than  $GFR \cdot F_{up}$

### In vitro 1:

Transporter studies in transfected kidney cells in two different formats

### Results:

- Substrate of certain influx transporters and efflux transporters
- All these transporters are expressed in the kidney, related to either active secretion or reabsorption
- OAT-2, BCRP and MRP4 are expressed both in the liver

Transporters	Uptake of efflux?	Substrate?
<b>OAT1</b>	Uptake	<b>Yes</b>
<b>OAT2</b>	Uptake	<b>Yes</b>
<b>OAT3</b>	Uptake	<b>Yes</b>
OCT2	Uptake	No
MATE1	Efflux	No
MATE2-K	Efflux	No
MRP2	Efflux	No
<b>MRP4</b>	Efflux	<b>Yes</b>
MDR1/Pg-p	Efflux	No
<b>BCRP</b>	Efflux	<b>Yes</b>
<b>OAT4</b>	Uptake	<b>YES</b>
<b>OATP1A2</b>	Uptake	<b>Borderline*</b>
OCTN1	Uptake	NO
OCTN2	Uptake	NO
URAT1	Uptake	NO

# Back to problem formulation...

## Understanding chemical organ distribution and renal clearance

### In silico predictions:

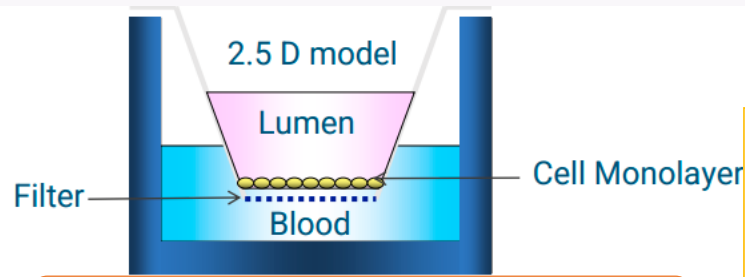
- BP-4 is an anion sulphonate
- Likely to be a substrate of Organic anion transporters (OATs)
- Renal clearance may be higher than  $GFR \cdot F_{up}$

### In vitro 1:

Transporter studies in transfected kidney cells in two different assays (uptake assay and vesicular assay)

### In vitro 2:

Investigate the bi-directional transport profile in kidney where all the active transporters are present and functional (aProximate™).



B-A → blood to urine → active secretion  
A-B → urine to blood → reabsorption

### Human aProximate™ platform

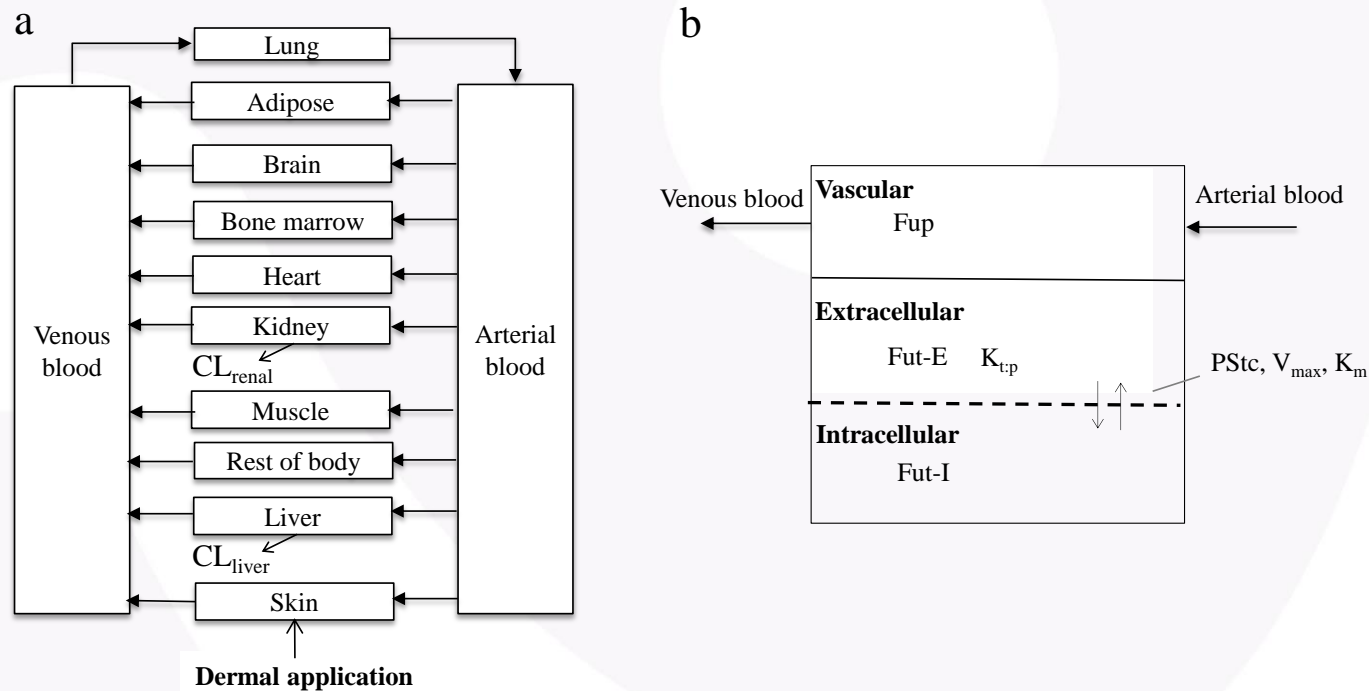
- Primary proximal tubule cells (PTCs) derived from fresh human kidneys
- Cultured on semi-permeable filters to form a tight monolayer
- Retains **a high degree of differentiation**
- Endogenously **express a variety of functional proteins and biomarkers**

### Results:

- Route of elimination in the kidney includes glomerular filtration, active tubular secretion and tubular reabsorption
- Transport in the proximal tubule cells is equally efficient in both directions
- However, donor variability has been observed that in 1 donor, active secretion was shown to be the main excretion route at biologically relevant concentrations

# Updated PBK model in GastroPlus

- Set BP-4's distribution to each compartment to be modelled as permeability-limited
- Liver clearance set to 0
- Active transport in the liver was modelled by incorporating kinetic parameters ( $V_{max}$ ,  $K_m$ , Protein expression) for the transporters (OAT-2, BCRP and MRP4).
- Biliary excretion not accounted for to be conservative
- $GFR \cdot F_{up}$  was used to calculate renal excretion of BP-4, accounting for filtration only to be conservative

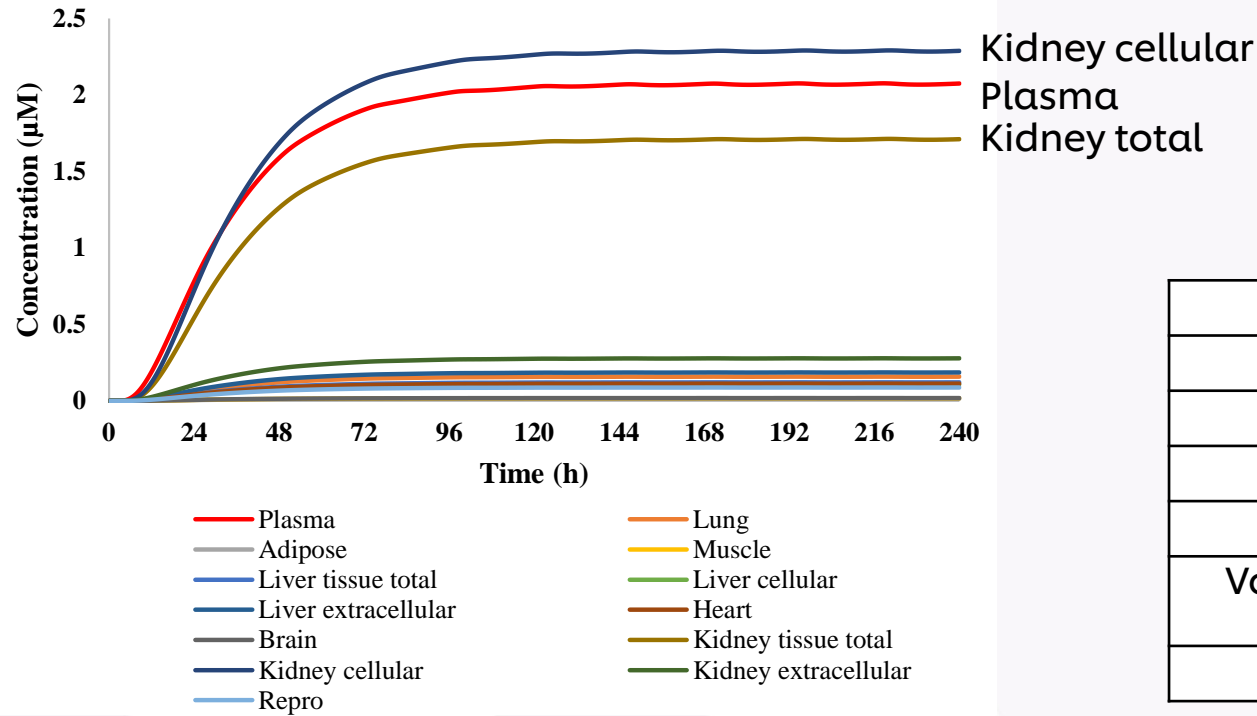


**Human PBK model structure for BP-4**

# PBK modelling

for a female European  
30 years-old 60 kg bodyweight

**BP4-Systemic Exposure-repeat**



PK parameter	Value
Bioavailability (%)	0.4
CL <sub>renal</sub> (L/h)	0.11
Plasma C <sub>max</sub> (µM)	2.08
AUC <sub>24h</sub> (ug-h/mL)	1.94
Volumes of distribution at steady state (L)	8.577
t <sub>1/2</sub> (h)	54.3

Human clinical PK data is not available for model verification

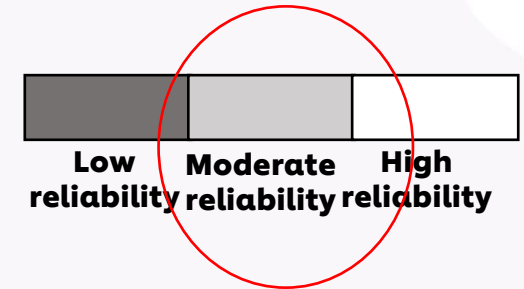
**We need to address uncertainty in PBK estimation**

# The output of the uncertainty and sensitivity analyses

**A**

		Uncertainty		
		High	Medium	Low
Sensitivity	High		vehicle: water partition coefficient Stratum corneum water partition coefficient Stratum corneum diffusivity Fup	
	Medium		K <sub>m</sub> OAT2	
	Low		V <sub>max</sub> OAT2 Epidermis diffusivity Blood: plasma ratio	

Plasma Cmax



**C**

		Uncertainty		
		High	Medium	Low
Sensitivity	High		vehicle: water partition coefficient Stratum corneum water partition coefficient Stratum corneum diffusivity	
	Medium		K <sub>m</sub> OAT2 V <sub>max</sub> OAT2 Fup	
	Low		Blood: plasma ratio	

Kidney intracellular Cmax

According to WHO/OECD guidance

# Probabilistic PBK modelling to account for population variability and parameter uncertainty

## Monte Carlo simulation

### Population

Physiological characteristics

- 16-70 years old
- 40-85 kg
- 50% male and 50 % female
- European population

### Parameter uncertainty analysis

- Set ranges (distributions) on values of influential parameters based on available information
- For uninfluential parameters, default distributions used

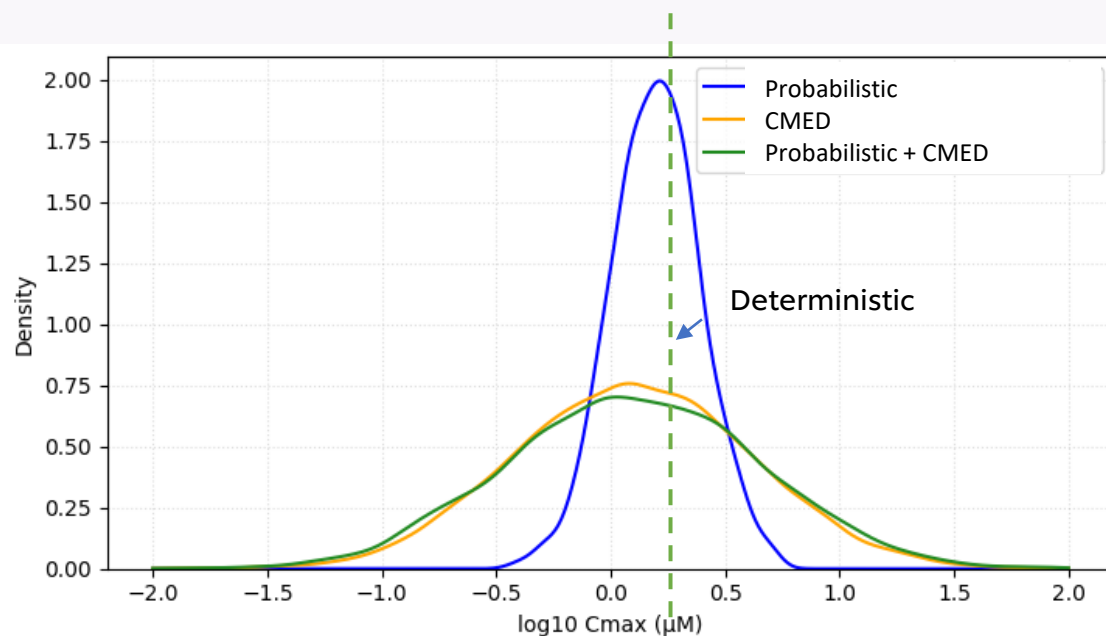
Note: a limitation of this approach is that parameter uncertainty and variability are considered together. Although separation of parameter uncertainty and variability is theoretically possible using hierarchical, population-based models, data are typically inadequate to achieve such a level or granularity



# Probabilistic PBK modelling + CMED model to account for population, parameter and model uncertainty

## To account unknown-unknowns e.g. model uncertainty

- $C_{max}$  Error Distribution (CMED): A complementary approach to characterise PBK prediction uncertainty as published in *Li et al. 2022* and *Middleton et al. 2022*.
- This model seeks to quantify the error distribution of estimates of plasma  $C_{max}$  by looking at the difference between PBK predictions of  $C_{max}$  and existing measured values in human clinicals for several exposure scenarios.
- This model can be used to estimate the distribution of the possible prediction errors for future chemical and exposure scenario.



Deterministic PBK model for female adult 60 kg	Distribution of $C_{max}$ (probabilistic simulation+CMED) ( $\mu\text{M}$ )	
Plasma $C_{max}$ point estimate	Median (95% interval)	95 <sup>th</sup> percentile
2.1	1.3 (0.11, 15)	9.8



Li H, Reynolds J, Sorrell I, Sheffield D, Pendlington R, Cubberley R, Nicol B. PBK modelling of topical application and characterisation of the uncertainty of  $C_{max}$  estimate: A case study approach. *Toxicol Appl Pharmacol.* 2022 May 1;442:115992. doi: 10.1016/j.taap.2022.115992. Epub 2022 Mar 25. PMID: 35346730.

Middleton, A.M., et al., Are Non-animal Systemic Safety Assessments Protective? A Toolbox and Workflow. *Toxicological Sciences*, 2022. 189(1): p. 124-147.

# Confidence level

## WHO questions for assessing the level of confidence in the BP-4 PBK modeling

Model evaluation aspect	level of confidence (towards the accuracy )	level of confidence (towards the conservatism )
Do the model <b>structure and parameters</b> have a reasonable <b>biological basis</b> ?	High	High
How well does the PBK model <b>reproduce</b> the chemical-specific <b>PK data</b> under various experimental or exposure conditions?	Low	High
How <b>reliable</b> is the PBK model with regard to its predictions of dose metrics <b>relevant to risk assessment</b> ?	High	High

### Conclusions

- ✓ The stepwise way of data generation and refinement, using relevant and robust approaches for parameter determination, support the reliability of input parameters and provide a sound biological basis for the model structure.
- ✓ Although human clinical data are not available for validation, the sensitivity and uncertainty analyses and the probabilistic modelling performed provided assurance that the predictions are fit for purpose and provides conservative estimates of human systemic exposure.

# Acknowledgments

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BP4 Consortium

Cosmetics Europe/LRSS Case study Leaders Team

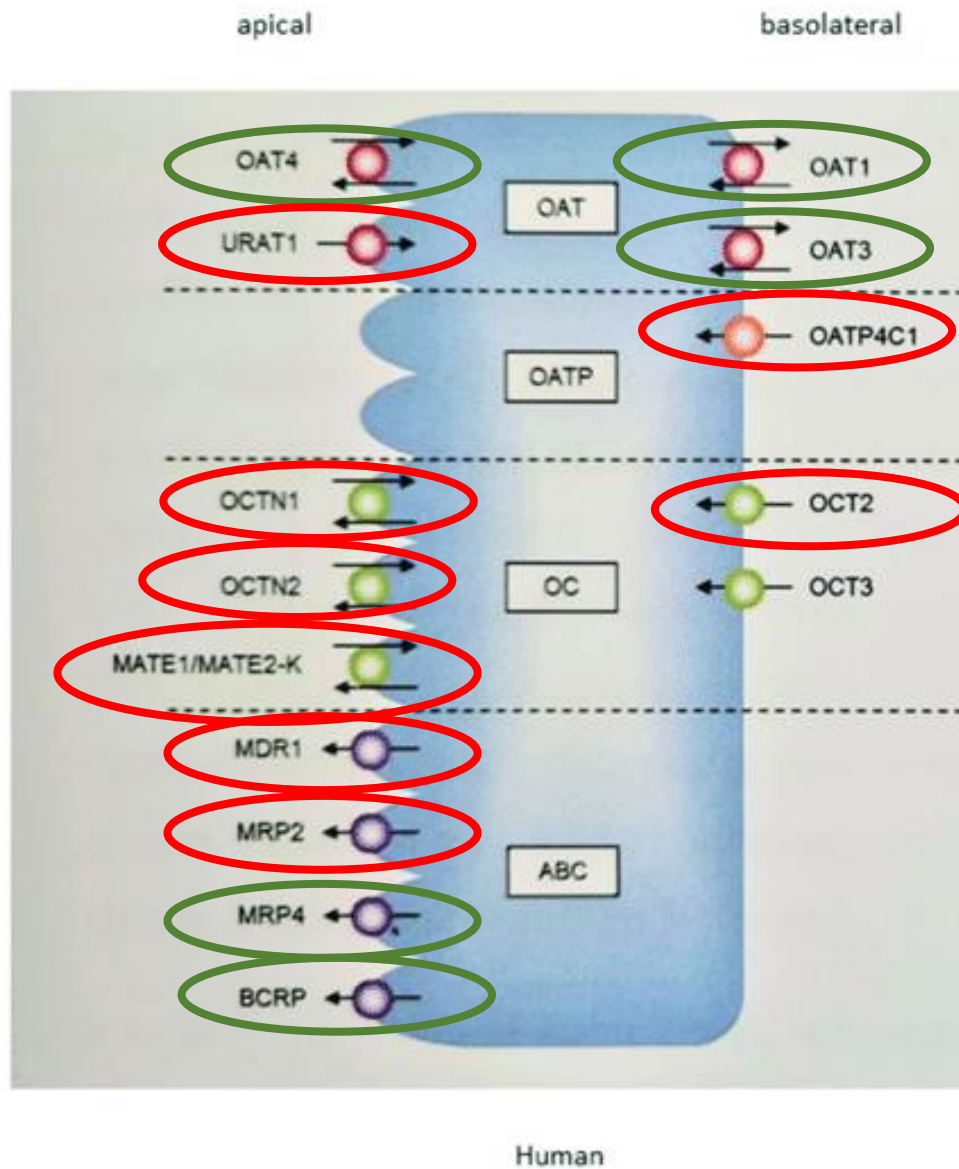
Pharmacelsus

Eurofins

SOLVO

NewCells

# Back up slides



Hequn

BP4 **not** a substrate to

BP4 **is** a substrate to

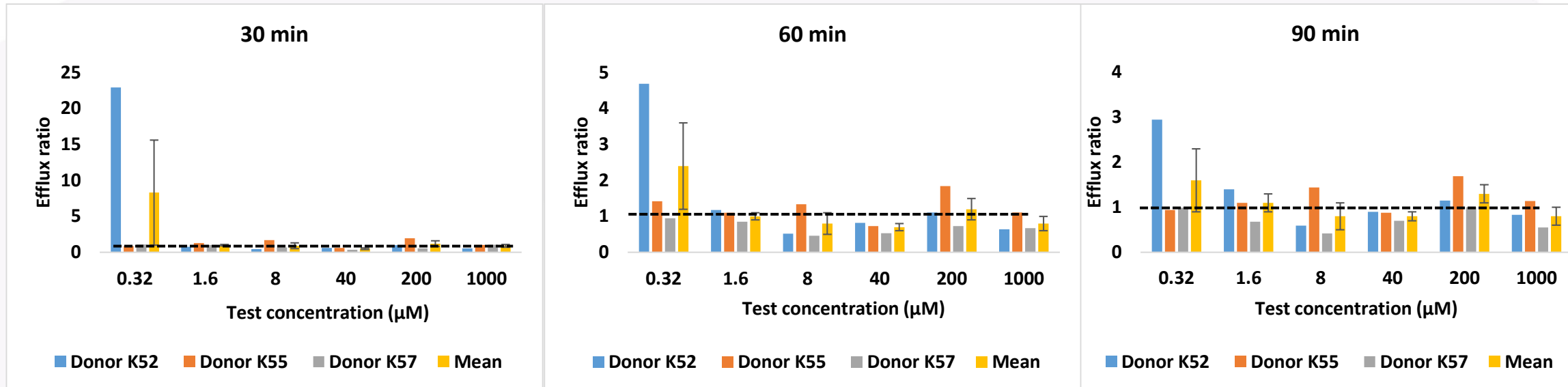
[Pharmaceuticals | Free Full-Text | Potential and Limits of Kidney Cells for Evaluation of Renal Excretion \(mdpi.com\)](#)

Proteins relevant for renal drug transport in humans.

OAT/Oat: Organic anion transporter; URAT: Urate transporter; OATP/Oatp: Organic anion transporting polypeptide; OC: Organic cation; OCTN/Octn: Organic cation transporter, novel; MATE/Mate: Multidrug and toxin extrusion protein; ABC: ATP-binding cassette; MDR/Mdr: Multidrug resistance; MRP/Mrp: Multidrug resistance-related protein; BCRP/Bcrp: Breast cancer resistance protein.

# Efflux ratios

- Data is first presented as flux rate (pmol/cm<sup>2</sup>/h) in both directions (JA-B and JB-A)
- Efflux ratio= JB-A / JA-B
  - 1.5-2.5: secreted molecules
  - <1: reabsorbed molecules



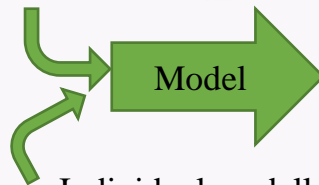
## Results:

- Route of elimination in the kidney includes glomerular filtration, active tubular secretion and tubular reabsorption
- Transport in the proximal tubule cells is equally efficient in both directions
- However, donor variability has been observed that in 1 donor, active secretion was shown to be the main excretion route at biologically relevant concentrations

# Strategies in addressing uncertainty in PBK estimation

Deterministic PBK modelling

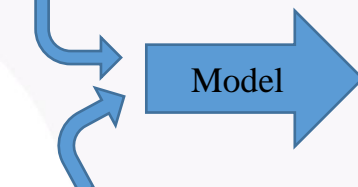
Point estimate values for input parameters



Individual modelled (30 year-old 60 kg female, European)

Probabilistic population PBK modelling

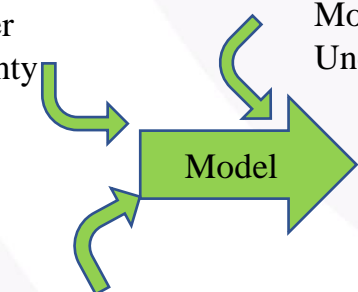
Parameter Uncertainty ('informed' distribution for the most sensitive parameters)



Population Variability

Probabilistic population PBK+ CMED modelling

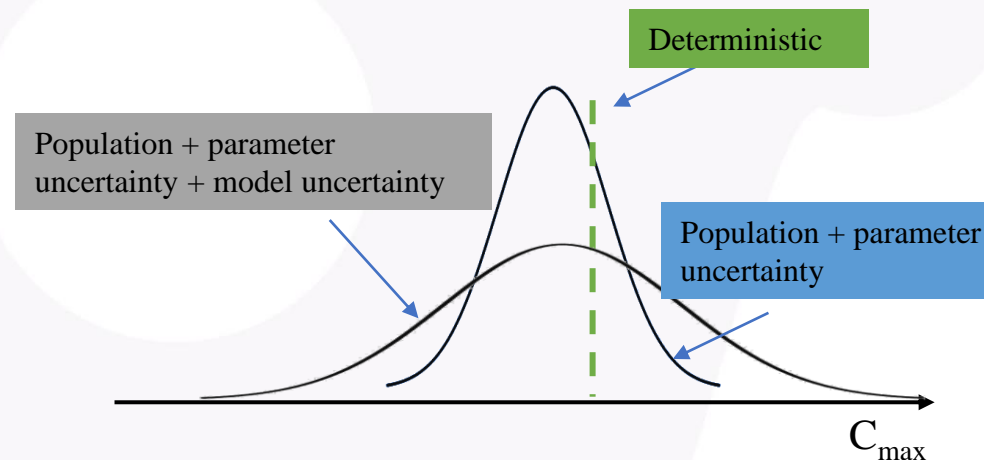
Parameter Uncertainty



Model Uncertainty

Population Variability

Predicted  $C_{max}$  based on different approaches characterising uncertainty



# Distributions for parameters used in uncertainty analysis and probabilistic PBK simulations

Parameter	Mean	cv%		Distribution type	Lower Limit	Upper Limit
Fup	1.574	37.21	In vivo variability + In vitro standard deviation	lognormal	0.6095	4.0651
kidney volume	324.3	30	Table 2 from Clewell and Clewell III, 2008	normal	32.4348	616.261
Liver volume	1416.1	30		normal	141.612	2690.63
liver plasma partition coefficient	0.09	20		lognormal	0.05209	0.15555
kidney plasma partition coefficient	0.135	20		lognormal	0.07795	0.23277
OAT2 expression in liver	3.50E-03	56.63	Literature review	lognormal	0.00091	0.01345
Km MRP4	1.5	25	In vitro standard deviation	lognormal	0.768	2.92969
Vmax MRP4	2.60E-03	25		lognormal	0.00133	0.00508
Km OAT2	4.5	25		lognormal	2.304	8.78906
vehicle: water partition coefficient	120	25		lognormal	64.486	234.38
Stratum corneum water partition coefficient	1	70		lognormal	0.2035	4.913
Stratum corneum diffusivity	2.00E-11	70		lognormal	4.07E-12	9.83E-11
epidermis diffusivity	6.00E-10	130		lognormal	4.93E-11	7.30E-09

Table 2  
Typical range of coefficients of variation for PBPK model input parameters

Parameters	CV (%)	Distribution
Tissue volumes	6–30	Truncated normal
Blood flows	8–30	Truncated normal
Ventilation	15–50	Truncated normal
Partitions	15–20	Truncated lognormal
Metabolism	30–70	Truncated lognormal



## To summarize BP-4's kinetic behavior in the human body:

- Overall, upon dermal absorption only a small amount of BP-4 enters systemic circulation, after which BP-4 remains unchanged due to negligible liver clearance.
- It has low tissue distribution due to low partitioning and limited passive diffusion of cell membranes (charged at physiological pH).
- It can be taken up into the kidney and then excreted to urine via active transport and can be reabsorbed back to into the bloodstream, however due to no preferred direction of movement glomerular filtration determines the overall renal excretion rate.
- BP-4 can also move into and then out of the liver cells.
- Successive doses result in accumulating concentrations of BP-4 in the body until a steady state is reached at around 100h when there is an equilibrium reached between the low absorption and low excretion into the urine.