Next Generation Risk Assessment (NGRA) using New Approach Methods (NAMs) to Evaluate Systemic Safety for Consumers Using Benzophenone-4 as a UVfilter in a Sunscreen Product

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Unilever's Safety & Environmental Assurance Centre (SEAC)



SEAC is Unilever's global centre of excellence in Safety & Sustainability Sciences, part of R&D's Safety, Environment & Regulatory Sciences Capability.

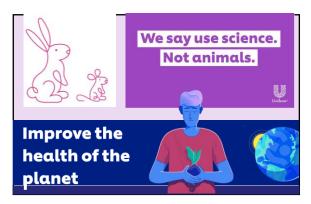
Diverse, multi-disciplinary team of ~150 scientists based at Colworth, UK; ~70 miles north of London



Highly collaborative, working with over 70 academic, industry, government & NGO partners worldwide









Outline

- Intro 5 mins
- Problem formulation, in silico & exposure assessment 20 mins
- **Breakout discussion I** 20 mins + 5 mins feedback
- Bioactivity characterisation and risk assessment conclusion- 15 mins
- **Breakout discussion II** 20 mins + 5 mins feedback
- **Discussion** 10 min



Purpose of the Workshop

- Make participants familiar with some of the available in silico and in vitro NAMs and promote a discussion about them – <u>focus on systemic toxicity</u>
- Showcase one way to integrate the presented NAMs in decision making using a real case industry application to inform a human-relevant safety decision
- To unpack our thought process whilst preparing the case study truly end to end risk assessment, from problem formulation to safety decision



Next Generation Risk Asses

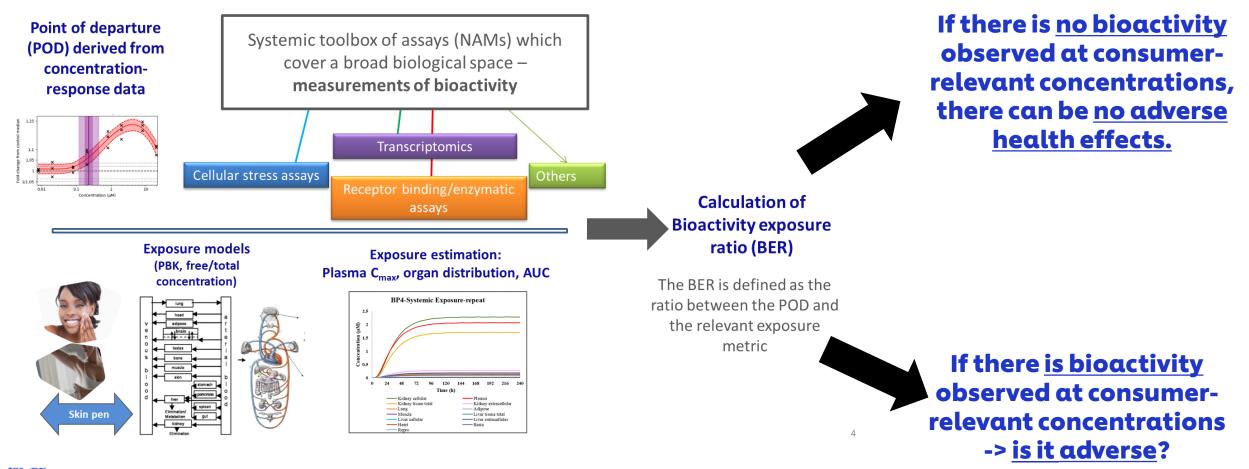
What is next generation risk assessment (NGRA)?

"An exposure-led, hypothesis driven risk assessment approach that incorporates one or more NAMs to ensure that chemical exposures do not cause harm to consumers"

Dent et al ., (2018) Comp Tox 7:20-26



Approach to this Next Generation Risk Assessment – <u>Protection of</u> <u>human health</u>





Case study



Benzophenone-4 (BP-4) case study: Objectives & Approach

In 2019, the European Commission defined a list of 28 cosmetic ingredients with potential endocrine activity

BP-4 is one of the 28 chemicals for which the call for data took place

Objective of the case study:

 To assess whether a tiered NGRA approach is sufficiently protective and also useful to answer a real-life question

> Is Benzophenone-4 safe in a sunscreen product at the maximum approved level of 5%?





Benzophenone-4 (BP-4) case study: rules & assumptions

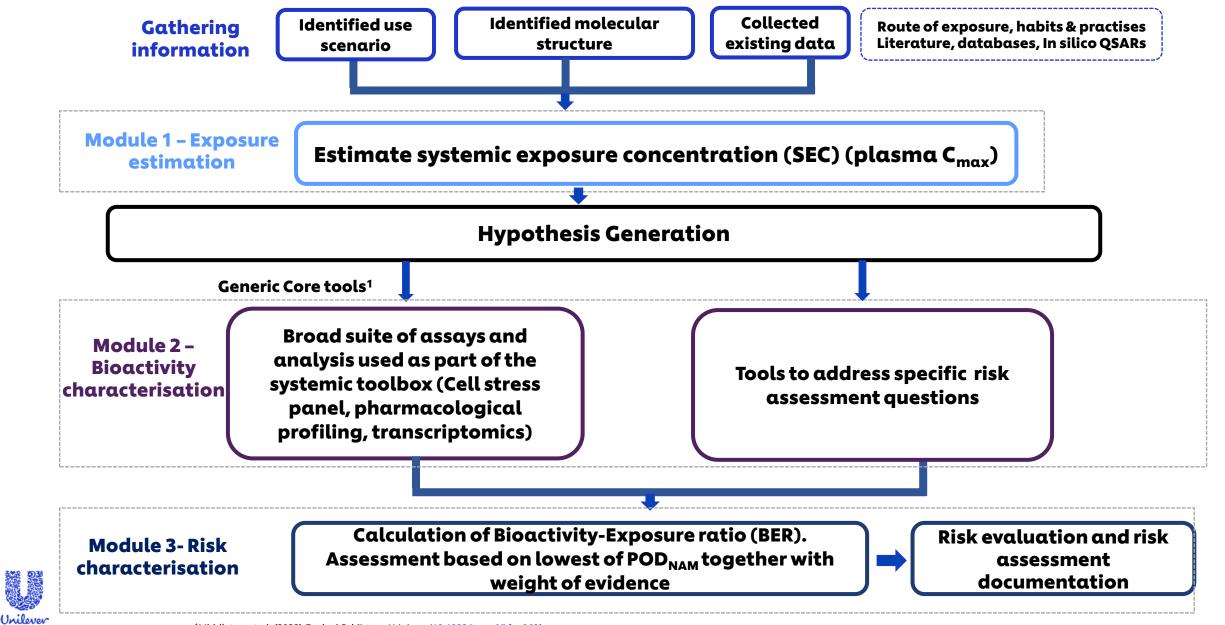
- For the purposes of this exercise, it has been assumed that no in vivo animal data exist on the ingredient
- Focus on **systemic toxicity**
- Stand-alone illustration of how to assess systemic toxicity effects (not including genetic toxicity) using NAMs





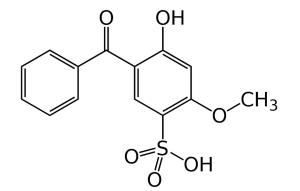
Overall approach for Benzophenone-4 (BP-4)

(10)



Gathering information: Use scenario and molecular structure

- Benzophenone-4 (CAS No. 4065-45-6; EC No. 223-772-2) has been used up to 5% in Europe in cosmetics for decades as an ultraviolet (UV) filter and provides protection of the skin and hair from the harmful effects of the sun.
- Benzophenone-4 is water soluble, given the presence of a sulphate group in its chemical structure and an anion at physiological pH
- It is also used as a product protectant at much lower % inclusion levels as a UV stabiliser protecting cosmetic formulations against chemical breakdown by sunlight
- The specific use scenario of this case study is for dermal application of a leave-on sunscreen body lotion product containing benzophenone-4 at 5% w/w





Gathering information: Alerts from *in silico* tools

O DEREK Nexus Derek likely toxicity based on chemical structure ○ METEOR Nexus Meteor possible biotransformation based on chemical structure **OECD QSAR Toolbox.** QSAR TOOLBOX 0 possible mechanisms of action likelihood of skin sensitisation of the parent and metabolites \circ TIMES **OPERA** physchem, environmental fate, range of human-relevant toxicity endpoints ○ VEGA EG/A physchem, human-relevant toxicity endpoints



AFSA training on predictive chemistry: <u>https://youtu.be/rLWaSgGFGCI</u>

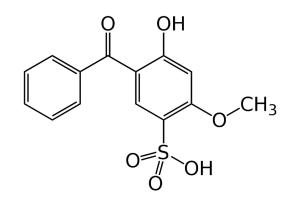
Gathering information: Alerts from *in silico* tools

•Benzophenone-4 did not trigger many alerts within the tools used. The most common alert across the tools was for skin sensitisation, or protein binding as an indication of skin sensitisation, in the DEREK, TIMES and OECD Toolbox outputs.

•No alerts for DNA binding, non-DART toxicant, no androgen agonism/antagonism

•Very few predicted metabolites (via hydroxylation and demethylation)

•Benzophenone-4 triggered one potential alert for estrogen receptor binding in the VEGA profiler, however this was not consistent across other profilers that also assess estrogen receptor activity.



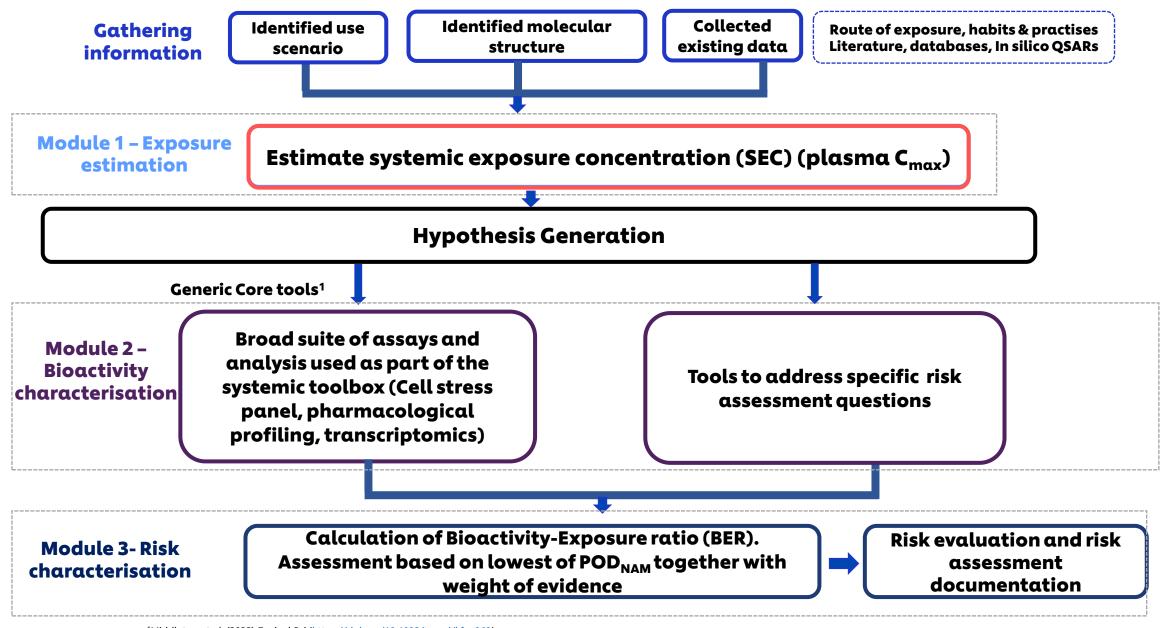
CAS No. 4065-45-6; EC No. 223-772-2; sulisobenzone; 2-Hydroxy-4methoxybenzophenone-5sulphonic acid)





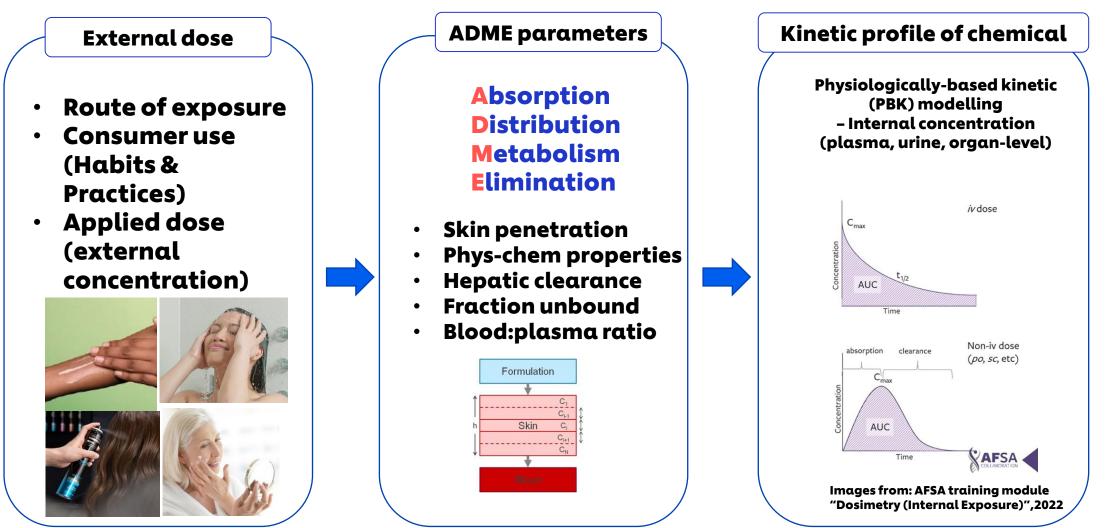
Overall approach for Benzophenone-4 (BP-4)

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Module 1: Exposure assessment From applied dose to internal concentrations





https://www.afsacollaboration.org/sciencex_event/dosimetry-internal-exposure-ivive/

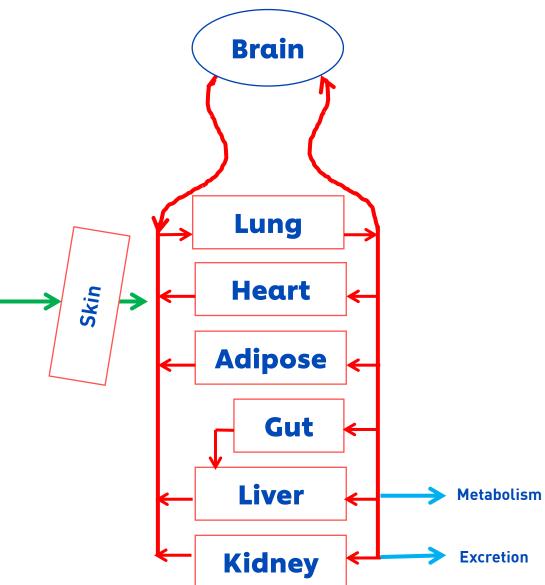
Module 1: Exposure assessment: What is PBK modelling?

- Mathematical description of interconnected compartments representing the human body
- Describe ADME (Absorption, Distribution, Metabolism, and Excretion) properties of a chemical within the body
- Prediction of concentration in blood, plasma, and tissues over time
- Can model an individual or a population



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PBK modelling inputs- Exposure scenario, target individual/population, ADME parameters

Exposure scenario

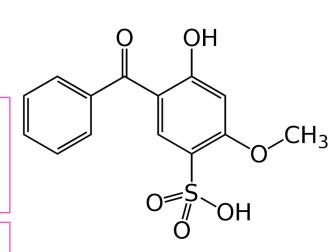
- 5% in Sunscreen product,
- 18g/day, two times, 9g/application,
- On body and face 17500cm² (total body area)

Physiological parameters

- Adult female, 30 years old, 60 kg (SCCS NoG 12th revision)
- PEAR (Population Estimates for Age-Related -Physiology™) was used to calculate organ weights, volumes, perfusions, and tissue-plasma partition coefficients for the 30 year old, 60 kg bodyweight person.

ADME In silico & data generation in vitro

- Dermal absorption (OECD TG 428)
- Blood to plasma ratio
- Plasma protein binding
- Metabolic stability (cryopreserved primary human hepatocytes)









PBK modelling inputs – ADME results

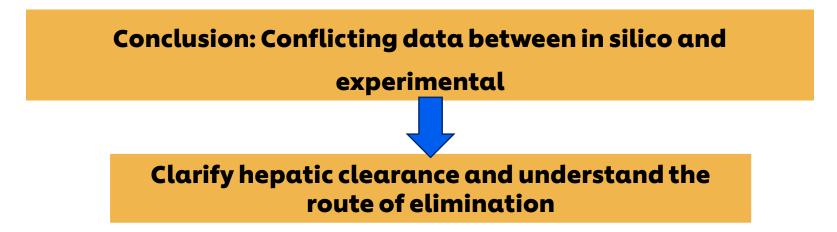
Main observations:

<u>In silico</u>

- BP-4 was predicted to be cleared via liver metabolism (ECCS classification, Varma et al 2015)
- BP-4 was predicted to be substrate of several transporters by ADMET predictor

Experimental

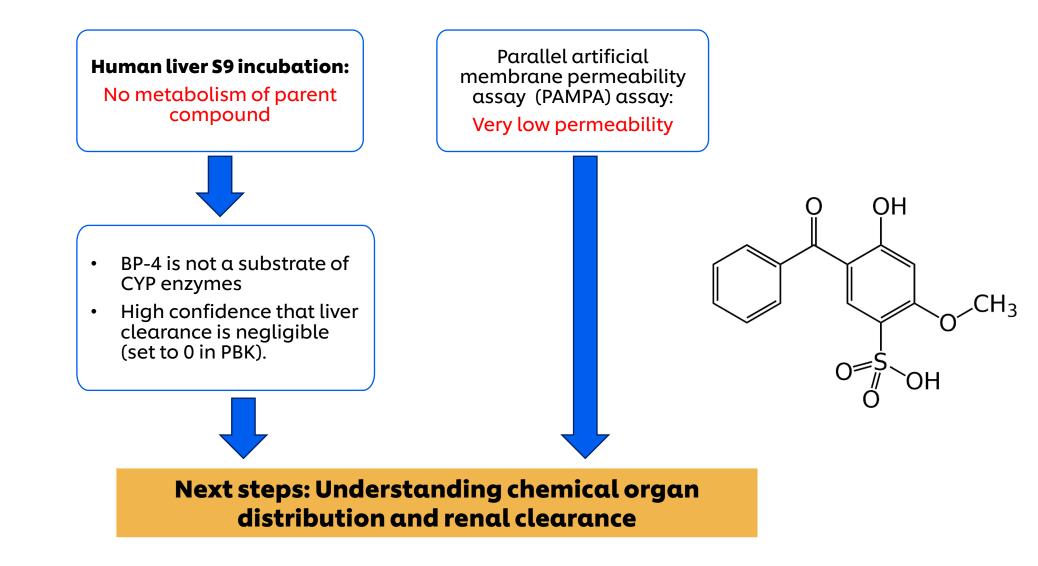
- Very low skin penetration
- BP-4 stable in human hepatocytes. Hepatic intrinsic clearance <2.5L/h (Below LOQ)





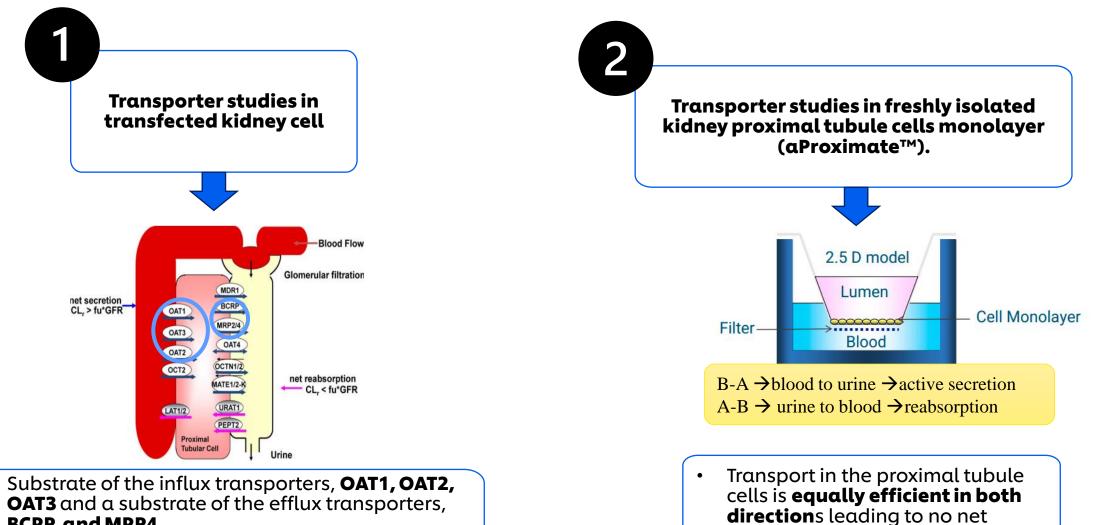
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Hepatic clearance follow up: confirming the low permeability and the lack of metabolism





Understanding chemical organ distribution and renal clearance



movement

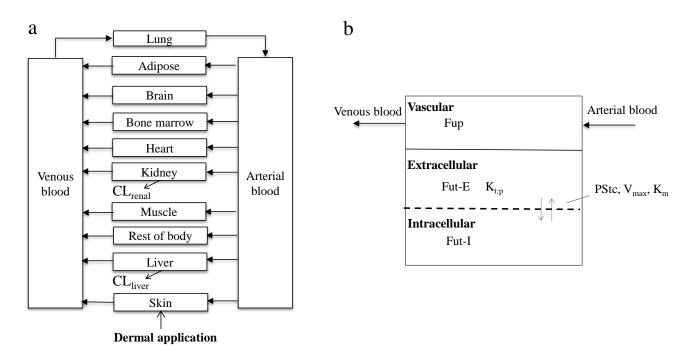
- **OAT3** and a substrate of the efflux transporters, **BCRP** and MRP4.
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All these transporters are expressed in the kidney, although OAT-2, BCRP and MRP4 are expressed both in kidney and liver

Update PBK model – choose the most conservative assumptions

- Set BP-4's distribution to each compartment to be modelled as permeability-limited
- Liver clearance set to 0
- Active transport in the liver was modelled by incorporating kinetic parameters for the transporters (OAT-2, BCRP and MRP4).
- GFR*Fup was used to calculate renal excretion of benzophenone-4, accounting for filtration only to be conservative

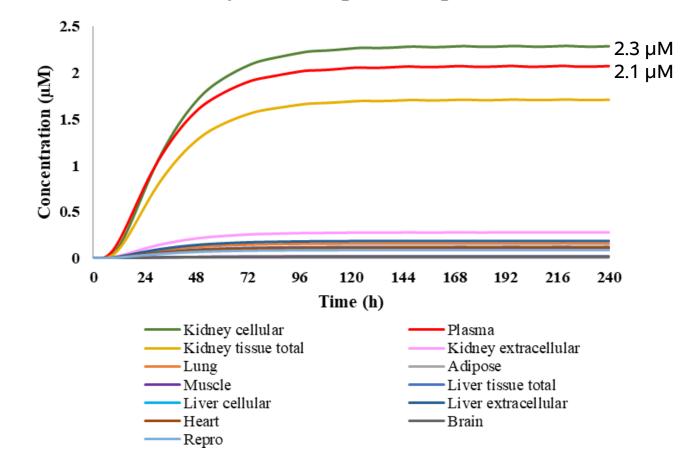




Human PBK model structure for BP4

Internal concentration: Deterministic PBK model simulation of C_{max} for an adult female (30 years old, 60 kg)

BP4-Systemic Exposure-repeat





Benzophenone-4 concentrations in plasma and different tissues after repeated exposure of body lotion 18g/day, i.e., 9g twice per day for a period of 10 days, with 5% benzophenone-4, on the whole body.

To summarize BP-4's kinetic behavior in the human body:

- Overall, upon dermal absorption only a small amount of BP-4 enters systemic circulation, after which BP-4 remains unchanged due to negligible liver clearance.
- It has low tissue distribution due to low partitioning and limited passive diffusion of cell membranes (negatively charged at physiological pH).
- It can be taken up into the kidney and then excreted to urine via active transport and can be reabsorbed back to into the bloodstream, however, due to no preferred direction of movement, glomerular filtration determines the overall renal excretion rate.
- BP-4 can also move into and then out of the liver cells via active transport (OAT2).



Breakout discussion I



In your groups discuss the following:

- How would these in silico predictions inform the next steps in the risk assessments? (i.e. follow up in vitro testing)
- 2. How confident are you in the predicted values of plasma Cmax?
- 3. How would you increase the confidence in the exposure prediction? (i.e. What other information would you like to have?)
- 4. How would these exposure results inform your next steps in the risk assessment?

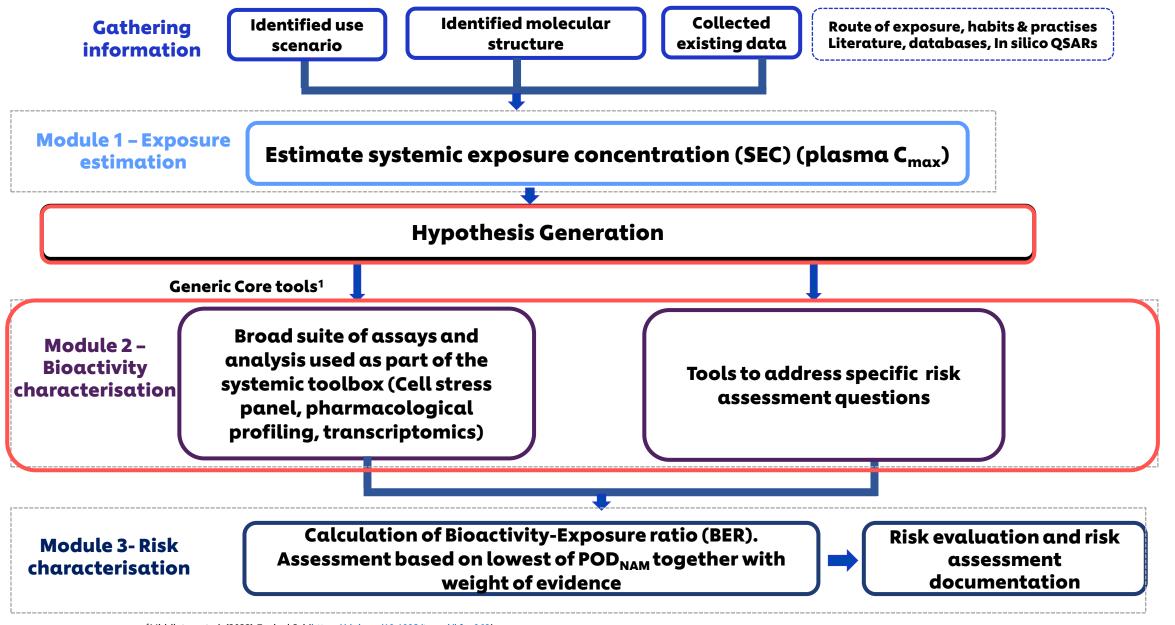
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Overall approach for Benzophenone-4 (BP-4)

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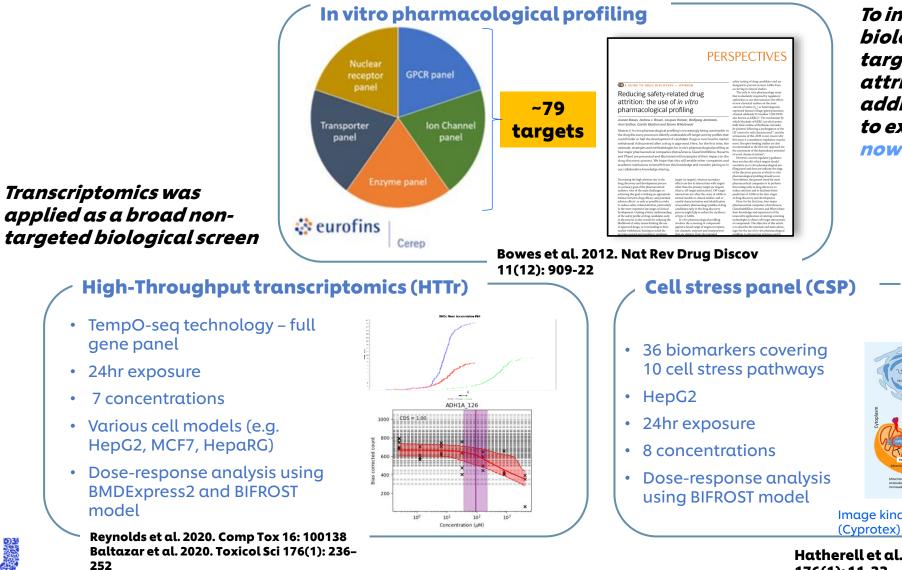
Hypothesis Generation

1) Biological activity measured using a broad suite of humanrelevant test systems is sufficiently protective. If bioactivity is not observed at concentrations experienced systemically in consumers then there are no adverse effects.

- 2) In silico tools predicted binding to estrogen receptor.
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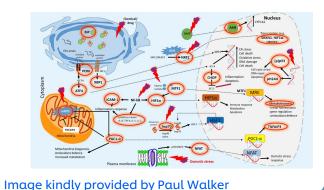
Module 2: Broad suite of assays and analysis used as part of the systemic toolbox



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To investigate specific biological activity with 44 key targets involved in drug attrition (Pharma) and additional targets relevant to exposure to cosmeticsnow expanded to 79 targets

> *To characterize non-specific biological activity which is not mediated via a specific protein/receptor interaction*



Hatherell et al. 2020. Toxicol Sci 176(1): 11-33

High Throughput Transcriptomics (HTTr) applied as a broad nontargeted biological screen

- HTTr provides information genome-wide biological perturbations
- **Concentration-response** HTTr experiments can provide **potency estimates** for the concentrations of chemicals that produce perturbations in cellular response pathways
- TempO-Seq technology is the method adopted by the US EPA, Health Canada and in the APCRA case studies.

Experimental design for case study:

- Use of full human gene panel ~ 21k
- 24 hrs exposure, 7 concentrations
- 4 cell lines: HepG2 (OAT2), HepaRG (OAT2) and MCF7 (OAT1) and primary proximal tubule cells (PTCs; (aProximate™))

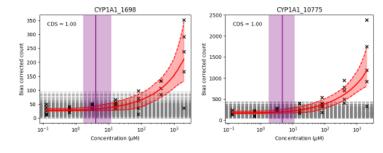


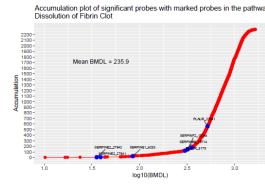


High Throughput Transcriptomics (HTTr) applied as a broad nontargeted biological screen

Data Analysis

- For the purposes of this case study, dose-response analysis and point of departure (POD) determination was performed using 2 different methods:
 - <u>Global POD (BIFROST method)</u>: Estimate of the highest nominal concentration of test substance at which there is no bioactivity.
 - **Pathway average Bench Mark Dose Lowest (BMDL):** Average of all gene level BMDLs for genes within a pre-defined pathway using BMDExpress2. POD defined here is the lowest observed concentration that shows significant pathway perturbation.









Cell stress panel- 10 stress pathways responsible for cell homeostasis



- ~<u>10 Stress Pathways</u>: mitochondrial toxicity, Oxidative Damage, DNA damage, Inflammation, ER stress, Metal stress, Heat Shock, Hypoxia, Cell Health
- HepG2 cells
- 36 Biomarkers;
- 24h exposure duration
- 8 Concentrations
- Dose response analysis and derivation of Global POD by the BIFROST method¹



TOXICOLOGICAL SCIENCES, 2020, 1-23

doi: 10.1093/toxsci/kfaa054 Advance Access Publication Date: May 6, 2020 Research article

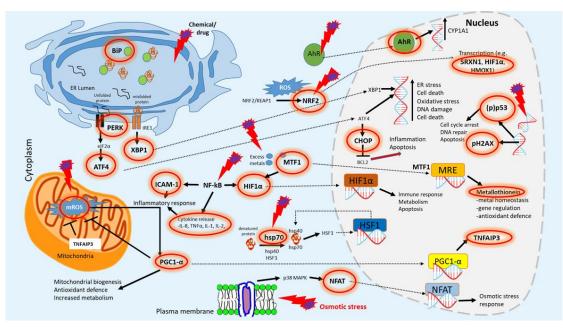
FEATURED

Identifying and Characterizing Stress Pathways of Concern for Consumer Safety in Next-Generation Risk

Assessment

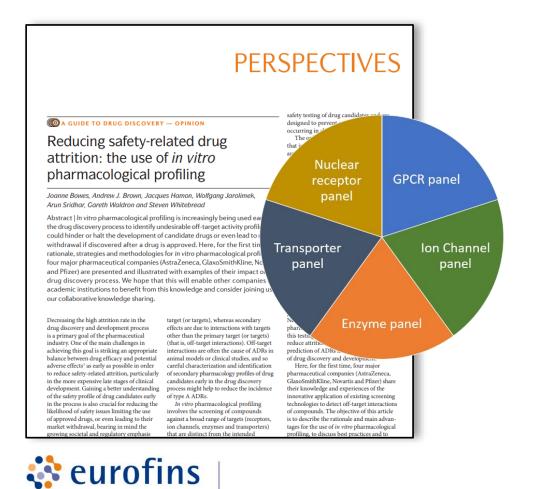
Sarah Hatherell,* Maria T. Baltazar,* Joe Reynolds,* Paul L. Carmichael,* Matthew Dent,* Hequn Li,* Stephanie Ryder,[†] Andrew White,* Paul Walker (),[†] and Alistair M. Middleton^{*,1}

*Unilever Safetv and Environmental Assurance Centre. Colworth Science Park. Sharnbrook. Bedfordshire





In vitro pharmacological profiling- currently 79 targets



- Panel developed by the pharmaceutical industry and used during early drug discovery to predict, assess and minimise/avoid risk of potential off-target adverse drug reactions.
- Initial panel of 44 targets identified to be related to adverse health outcomes¹
- Cosmetics Europe/LRSS working group added 29 additional targets selected via literature review of 78 targets found in at least two separate sources (secondary pharmacology reviews, legacy data from companies)^{2,3,4}

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- DISCOVERY
- 1. Bowes J et al 2012. Nat Rev Drug Discov;11(12):909-22.
- 2. Lynch JJ et al., 2017 Pharmacol Toxicol Methods;87:108-126.
- 3. Smit IA et al., 2021 Chem Res Toxicol;34(2):365-384.
- 4. Letswaart R et al., 2020 EBioMedicine;57:102837

Hypothesis Generation

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Module 2: Tools to address specific risk assessment questions

2. In silico prediction for estrogen binding

EATS activity: estrogenic, androgenic, thyroidogenic and steroidogenesis

- CALUX bioassays to measure transcriptional activation and binding assays: TTR-TRβ- and hTPO
- U2-OS incorporating the firefly luciferase reporter gene coupled to Responsive Elements (REs)
- 12 concentrations. Calculation of AC50, LOEC and NOEC

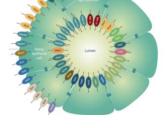
3. Benzophenone-4 concentration was predicted to be higher in the kidney than any other organ

4. Cell models in the toolbox have limited expression of the relevant transporters

Renal Toxicity

Renal biomarkers (3 donors, duplicate per donor), 8 concentrations, 24h and 72h timepoints:

- KIM-1
- NGAL
- Clusterin
- TEER (Day 0 and Day 3)
- ATP
- LDH



- Toxicogenomics (3 donors, 2 duplicates per donor), 8 concentrations, 24h and 72h timepoints
- Omeprazole and cisplatin added as benchmarks/positive controls
 Newcells aProximate[™] platform

Piyush Bajaj et al. 2020. Toxicology. 442, 152535



Results from the key NAMs- Deriving Points of Departure (PoDs)

HTTr (HepG2, HepaRG, MCF7, PTC)

- Two approaches to calculating POD BIFROST (gene level HepG2, 4.2 μM) and BMDL (pathway level HepG2 , 240 μM)
- Significantly lower bioactivity was detected in PTC cells BIFROST (gene level PTC, 320 µM) and BMDL (pathway level PTC, N/A)

Cell Stress Panel

• Global POD_{NAM} = 140 μ M

In vitro Pharmacological profiling

- Tested up to $10 \,\mu\text{M}$
- ~79 targets compiled by Cosmetics Europe Safety pharmacology WG
- No hits

Calux assays

- No agonism or antagonism of ER, AR or TR and no effect on production of oestrogens or androgens ±S9
- Activity towards hTPO and TTR was found at high concentrations (LOEC= 300-600 μ M).

Renal biomarkers (PTC)

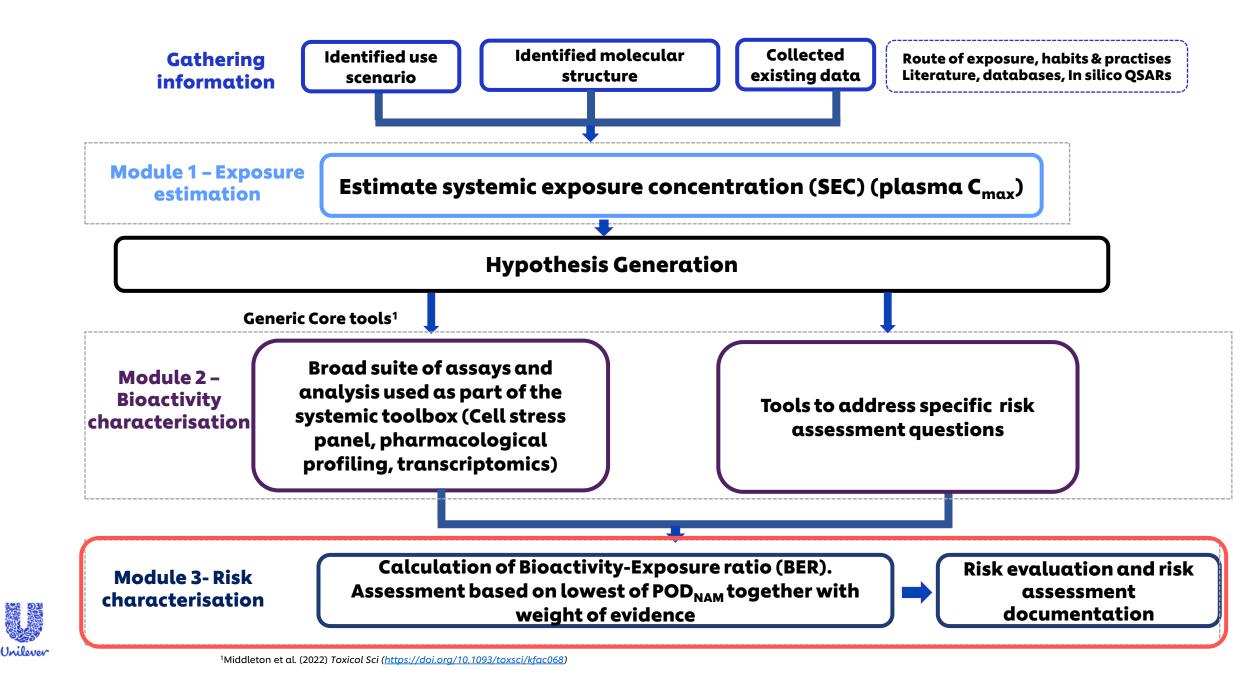
• No significant response for BP-4 (Cisplatin and Omeprazole gave expected dose-response at 72-h)



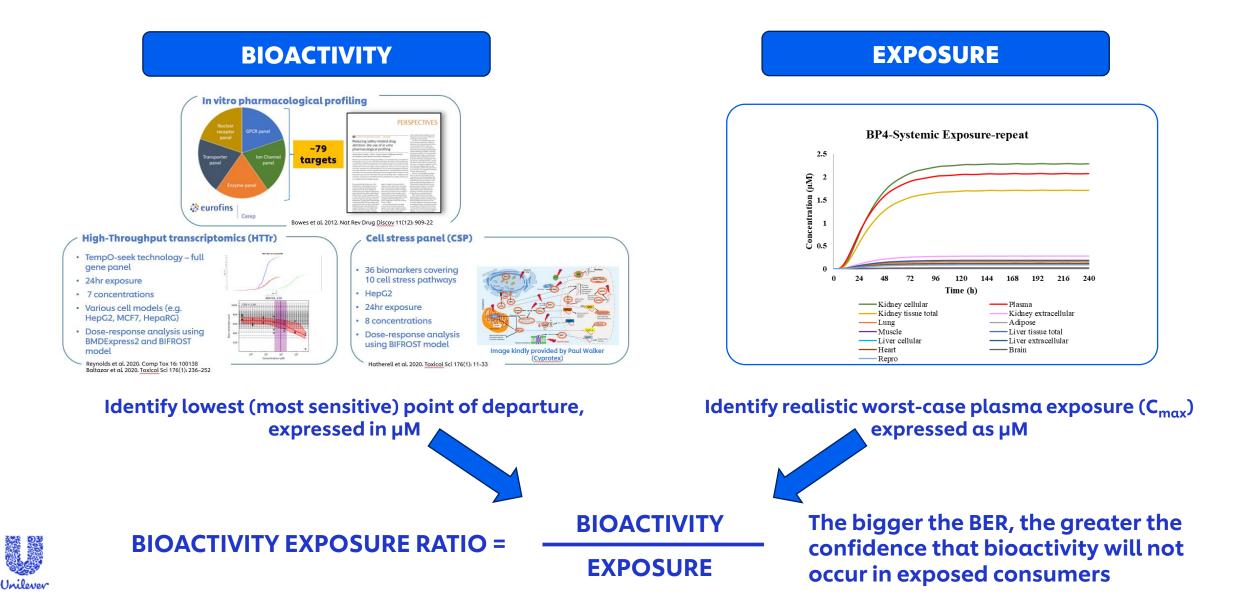


Overall approach for Benzophenone-4 (BP-4)

35



Risk Assessment Outcome



Bioactivity: exposure ratio calculation: BER ranging from 2-300

Broad suit of assays

NAM	Cell type	POD _{NAM} Type	POD _{NAM} Value (µM)	BER (using C _{max} of 2.1 µM)
Cell stress panel	HepG2	Gene-based PoD	140	67
HTTr	HepG2	Gene-based PoD	4.2	2
HTTr	HepaRG	Gene-based PoD	52	25
HTTr	MCF7	Gene-based PoD	5.5	2.6
HTTr	HepaRG	Lowest pathway BMDL	530	252
HTTr	HepG2	Lowest pathway BMDL	240	114
HTTr	MCF7	Lowest pathway BMDL	330	157

Specific assays

NAM	Cell type	POD _{NAM} Type	POD _{NAM} Value (µM)	BER (using C _{max} of 2.1 μM)
Calux (hTPO- inhibition)	-	LOEC	300	143
Calux (T4 binding to TTR)	-	LOEC	630	300
Renal biomarkers (24 hr exposure)	РТС	PoD	>1000	NA
Renal biomarkers (72 hr exposure)	РТС	PoD	>1000	NA
HTTr (renal cells) (24 hr exposure)	РТС	Gene- based PoD	320	152
HTTr (renal cells) (72 hr exposure)	РТС	Gene- based PoD	320	152

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When is a BER sufficiently protective?

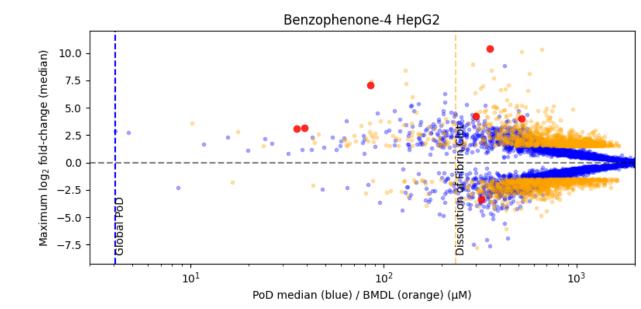
Conceptually, with the following assumptions a BER>1 indicates a low risk of adverse effects in consumers following use of the product:

- a) The in vitro measures of bioactivity provide appropriate biological coverage
- b) There is confidence that the test systems are at least as sensitive to perturbation as human cells in vivo
- c) The exposure estimate is conservative for the exposed population



Safety assessment discussion

- Lowest BER across all PODs was obtained from HTTr in HepG2 cells when the BIFROST method was used (POD of 4.2 µM; deterministic BER of 2)
 - Single gene change of CYP 1A1
 - Lowest BMDL in the same cell line is
 240 µM (deterministic BER of 114)
 - This provides some assurance that the gene changes seen at 4.1 µM may be of limited toxicological significance.
- The BER calculated from the deterministic Cmax and cell stress panel global POD (the next lowest POD) was 67.





Safety assessment discussion

Conclusion: Based on the tools and test systems used in this assessment and the assumptions used in the risk assessment, internal exposures would need to be greater than those predicted to lead to toxicologically significant systemic biological activity in consumers.



Breakout discussion 2



https://app.sli.do/event/5BhpcwjEHnYGKMdJ3NfMae

- 1. How confident are you about the use/interpretation of the bioactivity data?
- 2. How confident are you about making a risk assessment decision?
- 3. What are the main remaining uncertainties in the risk assessment?
- 4. What other data types/information would increase your confidence?

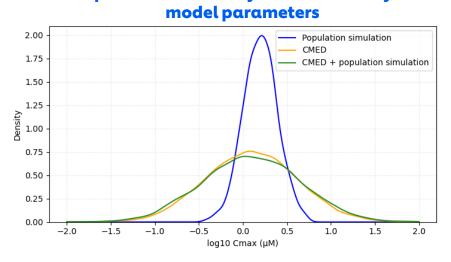




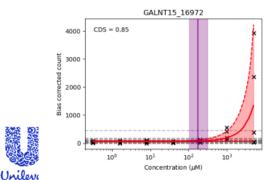
Addressing uncertainties in the safety assessment

Quantitative assessment-Probabilistic approaches for exposure & PoD determination

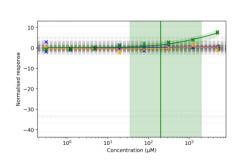
Population variability and uncertainty in



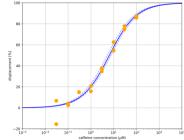
Gene expression



Cell stress panel



IPP target response



Qualitative assessment

Area	Level of certainty (rationale)	Is value likely to be an over-	Impact on risk
		or under-estimate	assessment
		(rationale)	decision

Areas

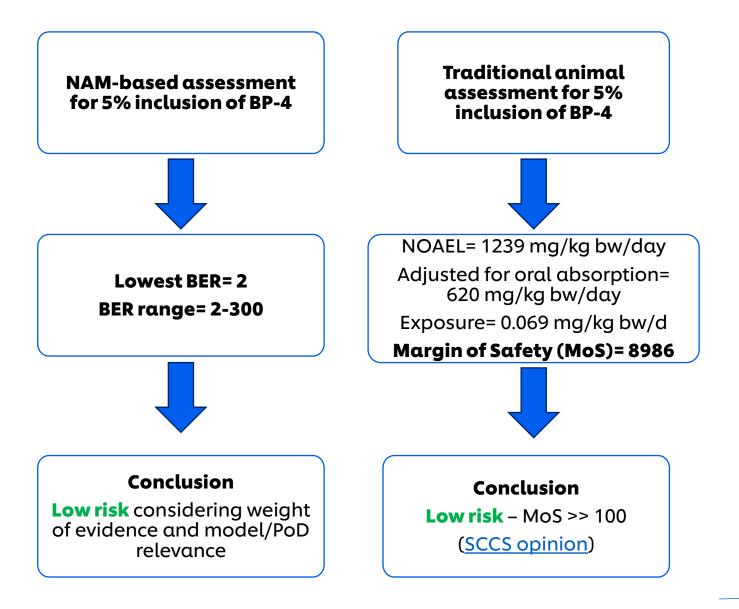
- Consumer exposure (applied dose)
- Identification of metabolites
- Consumer exposure (Internal dose)
- Range of biomarkers assessed
- Use of short-term tests in vitro to inform about risks of long-term human exposure
- Point of departure selection

Similar approach to OECD (2021): IATA for Phenoxyethanol

Area	Level of certainty (rationale)	Is value likely to be an over- or under-estimate (rationale)	Impact on risk assessment decision
Range of biomarkers assessed	Moderate (There is increasing evidence that POD _{NAM} obtained from the core NAMs, IPP, CSP and HTTr are protective for a range of chemicals (Middleton <i>et al.</i> , 2022) and previous case studies (Baltazar <i>et al.</i> , 2020, OECD phenoxyethanol). The hypothesis and exposure driven approach led to the inclusion of additional NAMs to investigate potential endocrine activity and kidney toxicity)	Given the low activity of benzophenone-4 across all available assays together with its kinetic profile (low passive permeability and low organ distribution) it is considered unlikely a specific MOA exists that would affect the safety assessment	There are remaining uncertainties regarding the protectiveness of the tools utilised for a broader range of chemistries. Confidence could be increased by assessing how protective the range of biomarkers are for many more compounds and whether different biomarkers are needed to ensure the <i>in vitro</i> PoD is protective compared with the <i>in vivo</i> PoD

Conclusions & reflections

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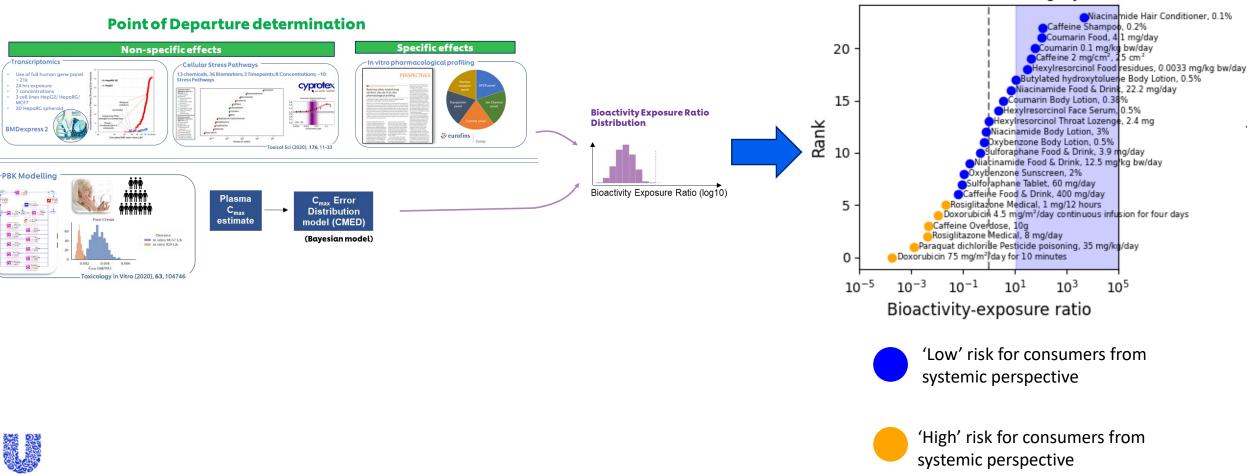


NAM-based risk assessments are in general more conservative than traditional approaches

- Middleton et al. (2022) Toxicol Sci (<u>https://doi.org/10.1093/toxsci/kfac06</u> <u>8</u>)
- Reardon A et al., 2023 <u>https://doi.org/10.3389/ftox.2023.1194</u> 895
- Zobl et al., 2023 <u>http://dx.doi.org/10.14573/altex.23090</u> <u>81</u>
- Paul-Friedman K et al., 2020: <u>https://doi.org/10.1093%2Ftoxsci%2Fkfz</u> 201
- Baltazar MT et al., 2020: <u>http://dx.doi.org/10.1093/toxsci/kfaa04</u> <u>8</u>
- Ebmeyer et al., 2024: <u>https://doi.org/10.3389/fphar.2024.134</u> <u>5992</u>

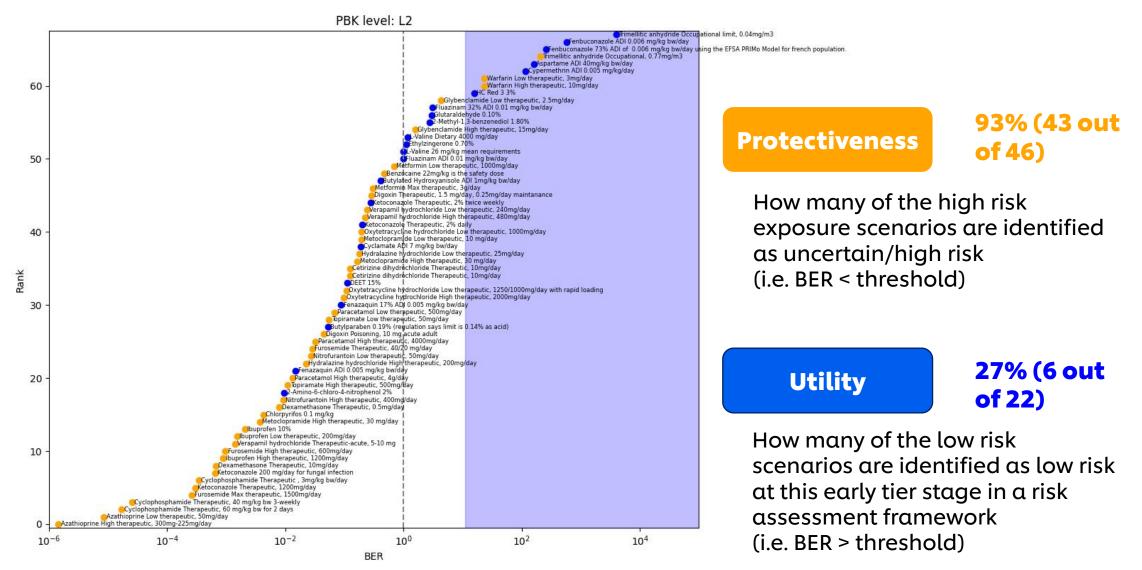
First pilot 10 chemicals, 24 exposure scenarios 44

Ongoing work to build confidence in the core toolbox for Tier 1



Unilever Middleton et al. (2022) Toxicol Sci (<u>https://doi.org/10.1093/toxsci/kfac068</u>)

Results for a set of 38 test chemicals and 70 exposure scenarios (manuscript in preparation, Cable et al)



Acknowledgments

Matt Dent Hequn Li Sophie Cable Nicky Hewitt Beate Nicol Ans Punt Joe Reynolds Sophie Malcomber Sharon Scott Jade Houghton **Predrag Kukic Andrew White Richard Cubberley Sandrine Spriggs Ruth Pendlington** Katie Przybylak **Alistair Middleton**

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BP4 Consortium Cosmetics Europe/LRSS Case study Leaders Team Pharmacelsus Eurofins BioClavis Cyprotex SOLVO BioDetection Systems NewCells

