From Toxicity Testing in the 21<sup>st</sup> Century to New Approach Methodologies (NAMs) and Next Generation Risk Assessment (NGRA): Making Safety Decisions Without Harming Animals

Prof. Paul L. Carmichael Safety & Environmental Assurance Centre, Unilever

08/05/2024





## Paul L. Carmichael

First degree in Biochemistry (Toxicology)

PhD in Biochemistry from King's College

Postdoctoral Scientist in carcinogenesis and genotoxicity

Senior Lecturer Faculty of Medicine at Imperial College

Toxicology Senior Science Leader, SEAC, Unilever

Academic links at Brown, Peking & Wageningen Universities











SURREY

### Web Resourse

#### • SEAC's Website for what we are discussing today:

# www.TT21C.org

## Ensuring Safe Ingredients for Foods, Drinks, Homecare and Cosmetic Products

#### **Risk Based Approach:**

Considers both the hazard and the exposure to evaluate the risk

Can we safely use % of ingredient in product?

For consumers; workers; the environment



### All Consumers Want Safe Products But Majority Want Them *Not Tested On Animals* + Transparency



### Use of Existing OECD In Vitro Approaches



# Skin and eye irritation; skin sensitization; phototoxicity; mutagenicity

### **But What About Systemic Toxicity?**



e.g. 90 Day Repeat Dose Study

#### It has served us well enough

### **Mechanistic? Human-based?**



### 2007 Toxicity Testing in the 21st Century (TT21C)

TOXICITY TESTING IN THE 21ST CENTURY: A VISION AND STRATEGY



"Advances in toxicogenomics, bioinformatics, systems biology, and computational toxicology could transform toxicity testing from a system based on whole-animal testing to one founded primarily on in vitro methods that evaluate changes in biologic processes using cells, cell lines, or cellular components, preferably of human origin."



# Perturbation of 'toxicity pathways' and stress responses



# THE EPA BLUEPRINT



TOXICOLOGICAL SCIENCES, 169(2), 2019, 317-332 doi: 10.1093/toxsci/kfz058

EPA 615B20001/June 2020

Advance Access Publication Date: March 5, 2019

#### FORUM

The Next Generation Blueprint of Computational Toxicology at the U.S. Environmental Protection Agency Russell S. Thomas,<sup>\*,1</sup> Tina Bahadori,<sup>†</sup> Timothy J. Buckley,<sup>‡</sup> John Cowden,<sup>\*</sup> Chad Deisenroth,\* Kathie L. Dionisio,<sup>‡</sup> Jeffrey B. Frithsen,<sup>§</sup> Christopher M.



#### **New Approach Methods Work Plan**

Reducing use of animals in chemical testing

U.S. Environmental Protection Agency Office of Research and Development Office of Chemical Safety and Pollution Prevention

June 2020

## **Principles of NGRA from ICCR**



#### Main overriding principles:

- » The overall goal is a human safety risk assessment
- » The assessment is exposure led
- » The assessment is hypothesis driven
- » The assessment is designed to prevent harm

#### **S**Principles describe how a NGRA should be conducted:

- Following an appropriate appraisal of existing information
- » Using a tiered and iterative approach
- » Using robust and relevant methods and strategies

#### Principles for documenting NGRA:

- » Sources of uncertainty should be characterized and documented
- » The logic of the approach should be transparently and documented

## **Applied dose**



	Product types	Face cream	Shampoo	Body Lotion
	Amount of product used per day (g/day) using 90th percentile	1.54	10.46	7.82
	Frequency of use	2 times/day	1 time/day	2 time/day
	Amount of product in contact with skin per occasion (mg)	770	10460	3910
	Ingredient inclusion level	0.1%	0.1%	0.1%
	Skin surface area (cm2)	565	1440	15670
	Leave on or rinse off	leave on	rinse off	leave on
	Exposure duration per occasion	12 hours	24 hours	12 hours
	For rinse off product, retention factor of finished product on skin <sup>b</sup>	n.a.	0.01	n.a.
	Amount of ingredient in contact with skin per occasion (mg)	0.77	0.105	3.91
	Local dermal exposure per occasion (µg/cm2)	1.36	0.073	0.25
	Systemic exposure per day (mg/kg)	0.02	0.00154	0.12

- Exposures to face cream and body lotion above threshold of toxicological concern (TTC) depending on Cramer classification
- Shampoo exposure would be below all non genotoxic TTC
- Only face cream and body lotion risk assessment progress to NGRA

# **PBK (Physiologically Based Kinetic) Modelling**





# **One Interpretation of TT21C:** Quantitative *in vitro* to *in vivo* extrapolation



### Another Interpretation: Tox21/ToxCast ~700 HTS Biological Pathways Assays







https://www.epa.gov/chemicalresearch/toxicity-forecasting







National Institute of Environmental Health Sciences (NIEHS) / National Toxicology Program (NTP)

National Center for Advancing Translational Sciences (NCATS)

U.S. Food and Drug Administration (FDA)

National Center for Computational Toxicology (EPA)

# In Vitro Bioactivity vs Bioavailabilty



# "Protection not Prediction"

Slide from Dr Rusty Thomas, EPA, with thanks



Rotroff, et al. Tox.Sci 2010

# EPA, NTP, HC, A\*STAR, ECHA, EFSA, JRC, RIVM...



Katie Paul-Friedman et al. 2019 Tox Sciences, October Issue



log10 mg/kg-bw/day • ExpoCast • POD-NAM • max AED • POD-traditional 414/448 chemicals = 92% of the time this naïve approach appears conservative

**APCRA** 

CHEMICAL RISK ASSESSMENT

#### The Margin of Safety Approach



#### \*NAM = New Approach Methodology

# A case study approach – human health safety assessment required for...

#### 0.1% COUMARIN IN FACE CREAM FOR EU MARKET (NEW FRAGRANCE)



SOT Society of Toxicology

academic oup com/toxso

**Assumed that:** 

- Coumarin was 100% pure
- No *in vivo* data was available such as animal data, history of safe use (HoSU) or clinical data or use of animal data in read across



A Next-Generation Risk Assessment Case Study for Coumarin in Cosmetic Products

Maria T. Baltazar,<sup>1</sup> Sophie Cable, Paul L. Carmichael, Richard Cubberley, Tom Cull, Mona Delagrange, Matthew P. Dent, Sarah Hatherell, Jade Houghton, Predrag Kukic, Hequn Li, Mi-Young Lee, Sophie Malcomber, Alistair M. Middleton, Thomas E. Moxon <sup>®</sup>, Alexis V. Nathanail, Beate Nicol, Ruth Pendlington, Georgia Reynolds, Joe Reynolds, Andrew White. and Carl Westmoreland

TOXICOLOGICAL SCIENCES, 2020, 1-17

doi: 10.1093/toxsci/kfaa048 Advance Access Publication Date: April 10, 202

Research article



#### Next-Generation Risk Assessment case study workflow for 0.1% coumarin in face cream





Baltazar et al., (2020) Tox Sci Volume 176, Issue 1, 236–252

#### NAMs used to estimate internal concentration



# NAMs used to predict biological activity based on chemical structure



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and Paul J. Russell<sup>†</sup>



# NAMs used to characterize the biological activity of coumarin

🛟 eurofins |



Unilever

# NAMs used to characterize the biological activity of coumarin cyprotex





TOXICOLOGICAL SCIENCES, 2020, 1–23 doi: 10.1093/toxsci/ldfaa054 Advance Access Publication Date: May 6, 2020 Research article

#### FEATURED

Identifying and Characterizing Stress Pathways of Concern for Consumer Safety in Next-Generation Risk Assessment

Sarah Hatherell,\* Maria T. Baltazar,\* Joe Reynolds,\* Paul L. Carmichael,\* Matthew Dent,\* Hequn Li,\* Stephanie Ryder,<sup>†</sup> Andrew White,\* Paul Walker 💿 ,<sup>†</sup> and Alistair M. Middleton\*<sup>,1</sup>



# Dose-response analysis and in vitro PoD derivation



Biomarkers	Cell type	Stress pathway	PoD (µM)	Effec t	Concentratio n dependency score (CDS)
ATP (6h)	HepG2	cell health	794 (363-977)	down	0.98
ATP (24h)			617 (282-891)	down	1
Phospholipidosis (24h)	HepG2	cell health	759 (437-977)	down	0.93
GSH (24h)	HepG2	oxidative stress	851 (301-1000)	up	0.92
IL-8 (24h)	HepG2	inflammatio n	912 (575-1000)	down	0.61
OCR (1h)			62 (2.6-776)		0.6
OCR (6h)	NHEK	mitochondria l toxicity	468 (214-794)	down	1
OCR (24h)			309 (138-1000)		0.52
Reserve capacity (1h)			44 (23-96)		1
Reserve capacity (6h)	NHEK	mitochondria l toxicity	759 (302-1000)	down	0.9
Reserve capacity (24h)			794 (295-1000)		0.55







#### NAMs for in vitro bioactivity: HTTr (Tempo-Seq)

#### High-Throughput Transcriptomics Gene Expression Profiling (HTTr)

- 1. Defining a safe operating exposure for systemic toxicity using aNOTEL(No Transcriptional Effect Level)
- 2. Defining compound similarity grouping (Read Across)

**NOTEL** is the derived concentration of a compound that does not elicit a meaningful change in gene expression (i.e. the threshold of the concentration that elicits minimal mechanistic activity)

#### Cell lines (chosen to express a range of relevant receptors)

MCF-7 – human breast adenocarcinoma cell line

HepG2 – human liver carcinoma

HepaRG – terminally differentiated hepatic cells that retain many characteristics of primary human hepatocytes + as spheroids

N-HEK – primary normal human epidermal keratinocytes



Bio Spyder





# NAMS used to characterize the biological activity of coumarin

Unilever



#### Next-Generation Risk Assessment case study workflow for 0.1% coumarin in face cream





Baltazar et al., (2020) Tox Sci Volume 176, Issue 1, 236–252

#### **The Margin of Safety Approach**



\*NAM = New Approach Methodology

#### **Determination of MoS using NAMs and risk assessment conclusion**





The 5<sup>th</sup> percentile of the MoS distribution ranged between 706 and 96738

#### In this case study:

 Weight of evidence suggested that the inclusion of 0.1% coumarin in face cream is safe for the consumer



### The Key Elements in our NGRA Approach



Unilever

# NGRA is hypothesis-driven – examples of bespoke assays used in the coumarin case study



Unilever

#### Integrating DART Safety Assessment into Existing NGRA Framework





An NGRA framework with additional NAMs relevant for DART endpoints





TOXICOLOGICAL SCIENCES, 169(2), 2019, 317-332 doi: 10.1093/toxsci/kfz058 Advance Access Publication Date: March 5, 2019

FORUM

The Next Generation Blueprint of Computational Toxicology at the U.S. Environmental Protection Agency Russell S. Thomas,<sup>\*,1</sup> Tina Bahadori,<sup>†</sup> Timothy J. Buckley,<sup>‡</sup> John Cowden,<sup>\*</sup> Chad Deisenroth,\* Kathie L. Dionisio,<sup>‡</sup> Jeffrey B. Frithsen,<sup>§</sup> Christopher M.





#### Dent et al., (2018) Toxicological Sciences



Employing Dietary Comparators to Perform Risk Assessments for Anti-Androgens Without Using Animal Data
## Microphysiological Systems (MPS)



#### Mimetas





**TEX-VAL** 



Emulate









#### IonTox



#### TEXAS A&M

Tissue Chip Testing Center

## Conclusions

### Changing global environment for toxicology

- Consumers are demanding change; calls for non-animal, next generation risk assessments
- NGRA is a framework of non-standard, bespoke data-generation, driven by the risk
   assessment questions
- Enabling a transition from using data from tests in live animals to one founded on understanding the effects of chemicals in humans using computational approaches and *in vitro* methods that evaluate changes in biologic processes using human cells
- Constructed from *in silico* modelling approaches and *in vitro* solutions
- Need to ensure quality/robustness of the non-standard (non-TG) work and to characterise uncertainty to allow informed decision-making (BENCHMARKING)
- Shortcomings will be addressed by current and future research
- More research, creativity and examples needed to land this successfully with regulators

## **The NEW Gold Standard**

## Was:

- Rodents
- Pathology
- High-dose apical endpoints
- No adverse effect level
- Uncertainty factors

## Is Now:

- Broad-based NAMs
- Implementing new NAMs
- Exposure led (PBK)
- Bioactivity not pathology
- Protection not prediction
- Underpinned by
   Computational modelling



Environmental Topics V Laws & Regulations V Repor

Report a Violation V About EPA V

News Releases from Headquarters > Research and Development (ORD)

#### EPA and Unilever Announce Major Research Collaboration to Advance Non-animal Approaches for Chemical Risk Assessment

August 19, 2021

Press

2021

Release

**19 August** 

Contact Information EPA Press Office (press@epa.gov)

**WASHINGTON** – Today, the U.S. Environmental Protection Agency (EPA) and Unilever announced a collaborative agreement to better ways to assess chemical risks associated with consumer products. This agreement builds on prior cooperation between Unilever regarding New Approach Methods (NAMs), which are a promising alternative to conventional toxicity testing that are to reduce reliance on the use of animals.

EPA and Unilever have been jointly evaluating and using NAMs since 2015. This collaboration is helping EPA implement its New Methods Work Plan and is the foundation for new efforts to demonstrate that these novel approaches can help decision make protect consumers, workers and the environment.

"EPA is a pioneer in developing and applying NAMs to identify and quantify risks to human health, while reducing the use of an chemical toxicity testing," said **H. Christopher Frey, Deputy Assistant Administrator for Science Policy in EPA's Office of Re** and Development. "We are excited to continue the collaboration with Unilever, which enhances the robustness of our mutual to demonstrate the use of NAMs."

The new collaborative effort aims to establish a framework for the Next Generation of Risk Assessments based on NAMs. Such assessments are intended to quantify health risks to humans with sufficient scientific rigor to replace conventional animal-base methods and to support EPA's mission to protect human health and the environment.

This collaboration will bring together more than \$2 million in both monetary and in-kind contributions, including scientific expertise and equipment, to develop a comprehensive NAMs dataset for a minimum of 40 chemicals. The chemicals will be selected and grouped such

The Alginate Immobilization of Metabolic Enzymes Platform Retrofits an Estrogen Receptor Transactivation Assay With Metabolic Competence Chad Deisenothe,<sup>13</sup> Dania E. DeGroote,<sup>13</sup> Todd Zurlindene,<sup>\*</sup>

SEPA & Unilover

Chad Deisenroth ⊕.\*\* Danica E. DeGroot ⊕.\*\* Todd Zurlinden ⊕.\* Andrew Eicher,\* James McCord ⊕.\* Mi-Young Lee,<sup>†3</sup> Paul Carmichael,<sup>†</sup> and Russell S. Thomas ⊕\*

SOT 2017

Highlights of Ongoing Research Between

Q

CONTACT US

Search EPA.gov

## Thank you!

#### Supporting papers: *Toxicological Sciences* 'Highly Cited Collection' Click: Highly Cited Articles | Toxicological Sciences | Oxford Academic (oup.com)

### https://youtu.be/5Z2S8MnKp7g

#### Highly Cited Articles

Toxicological Sciences publishes a broad spectrum of impactful research in the field of toxicology. Explore a selection of highly cited articles, published during the past to years, that are making an impact in the research community and celebrate the increase to 4.849 of Toxicological Sciences latest impact factor. All articles are freely available for you to download, read, and enjoy until just of December 2021.

Utility of In Vitro Bioactivity as a Lower Bound Estimate of In Vivo Adverse Effect Levels and in Risk-Based Prioritization

Toxicological Sciences, Volume 173, Issue 1, January 2020, Pages 202–225, https://doi.org/10.1093/toxsci/kfz201

(POD) has the potential to accelerate the pose of human health hatfer valuation by informing screening-level assessments. The primary objective of this work was predictions, and traditional hazard information.

Novel Therapeutic Approaches Against Acetaminophen-induced Liver Injury and Acute Liver Failure Harmot Jasekhe et al.

Toxicological Sciences, Volume 174, Issue 2, April 2020, Pages 159–167, https://doi.org/10.1093/toxsci/kfaa002



Liver Injury and acute liver failure caused by acetaminophen (APAP, N acetyi-paminophenol, paraetamol) overfoor is a significant clinical problem in most wester occurrites. The only inicially approved antidote is N acetyicytathen (NAC), which promotes the recovery of hepatic GSH. If administered during the metabolism phase, GSH scovenges the reactive metabolism.

A Next-Generation Risk Assessment Case Study for Coumarin in Cosmetic Products Maria T Baltazar et al.

Toxicological Sciences, Volume 176, Issue 1, July 2020, Pages 236-252, https://doi.org/10.1093/toxsci/kfaa048

Here Generation Risk Assessment is defined as an exposure led, hypothesis driven risk assessment approach that iterparts new approach methodologies (IMAN) a sature safety without the use of animal testing. These principles were applied to a hypothesical safety assessment of 0.1% coumarin in face tream and body lotion. For the purpose of evaluating the use of IMAss.

The Impact of Environmental Chemicals on the Gut Microbiome Karen Chiu et al.

oxicological Sciences, Volume 176, Issue 2, August 2020, Pages 253–284, https://doi.org/10.1093/toxsci/kfaa065

- Since the surge of microbiome research in the last decade, many studies have provided
- insight into the causes and consequences of changes in the gut microbiota. Among the multiple factors involved in regulating the microbiome, exogenous factors such as diet
- and environmental chemicals have been shown to alter the gut microbiome
- significantly. Although diet substantially contributes...

The Next Generation Blueprint of Computational Toxicology at the U.S. Environmental Protection Agency Russell STromas et al.

Toxicological Sciences, Volume 169, Issue 2, June 2019, Pages 317–332, https://doi.org/10.1093/toxsci/kfz058







## Decision making in Next Generation Risk Assessment (NGRA)

Using Computational Models to Make Sense of Complex Data







### **Learning objectives**

- Understanding of how models are used to make predictions or analyse data in toxicology, and how they can be useful.
- Awareness of different modelling approaches currently used in risk assessment (e.g., Bayesian inference, physiologically based kinetic models etc), illustrated with examples taken from case studies.
- Understand how to get started using computational approaches to analyse data (including open access tools and other resources).



### **About me**

- Degree in Mathematics from the University of Edinburgh
- PhD in Applied Mathematics from the University of Nottingham
- Postdocs in Germany at the University of Freiburg and the University of Heidelberg
- Joined Unilever in 2014, hired as a mathematical modeller
- Science leader in Computational Toxicology











## Next Generation Risk Assessment is highly interdisciplinary





### Back to the toolbox





## Computational models and their impact on everyday life







#### Stock market



#### Self driving cars



digitalgyan.org



## A simple example: my journey from the UK to the US



- How long will the journey take?
- How early should I leave?
- How much fuel will I need?







## Imagine a time before Google Maps...



## Unilever

This Photo by Unknown Author is licensed under CC BY-SA

### What you want to know:

- Time it takes to get from home to the airport
- How early do you have to leave

### What information you have:

- Distance from Cambridge to London
- Travel by car

### **Construct a (very) simple model:**

- Model:
  - *Time* = *Distance/Speed*
- 'Data':

Distance = 55 miles

• Assume:

Speed = 60 miles per hour



## Using the model make a decision

- You need to arrive to the airport by 12noon to catch your flight
- Based on your assumptions, your model prediction it will take 55 minutes
- Should you 'trust' the model and leave at 11.05?



## Using models to make decisions



- Sitting behind Google maps is a far more complex and sophisticated set of models
- Informed by huge, complex datasets
- Provides estimation of journey time(s) based on route and time of day
- Even though it is more accurate, Google Maps can still go wrong!
- As a decision maker, both our model and Google Maps are potentially useful, but require judgement in terms of how you interpret their predictions.



## Using these approaches together to make safety decisions



Unilever

## Different types of computational approaches used in NGRA

#### Physiologically-based kinetic (PBK) modelling

#### **Dose response modelling**

#### In silico tools

Low (Class I)

termediate (Class II

Toxtree Estimation of Toxic Hazard - A Decision Tree Approach) v2.6.6

Created from SMILES

ram... 1N, 2N, 3N, 5N, 6N, 7Y, 8N,

Chemical identifier 0=C(C)N1[CGH](C(0)=O)C[CG/GH](O)C:

File Edit Chemical Compounds Toxic Hagard Method Help

Prev 1/1 Next Las





Statistical models of uncertainty and variability



Bioinformatics tools for analysing omics data



Gol

by Cramer ru

Estimate

O-C(C)N1[C@H](C(O)-O)C[C@@H](O)C]

0-C(C)N1[C@H](C(0)-O)C[C@@H](O)C1

0=C(C)N1[C@H](C(O)=O)C[C@@H](O)C]

ToxTree

O33.Has sufficient number of subbonat

Q8.Lactone or cyclic diester No
O-C(C)N1[C@H](C(O)-O)C[C@@H](O)C
O10.3-membered heterocycle No

O11 Has a beterocyclic ring with

sulphamate groups No Class High (Class III) O=C(C)N1[C@H](C(O)=O)C[C@@H](O)C1

substituents, Yes



## Principles of model development and the wet-dry cycle



## Two examples of computational models used NGRA

## Physiologically-based kinetic (PBK) modelling



Example of **bottom-up** modelling approach



Example of **top-down** modelling approach



## Physiologically based (Pharmaco\*)kinetic models



## Physiologically-based (pharmaco) kinetic models



**Problem:** Quantify amount (e.g., concentration) of substance across different organs/regions of the body over **time** and for different **exposure routes Assumptions:** 

- Different regions of the body (e.g. organs) are divided into separate compartments
- Connection between compartments reflects physiology
- Movement of substances between compartments are governed by biophysical processes such as diffusion, perfusion, active transport etc



## Physiologically-based (pharmaco)kinetic models





## Case study: Physiologically-based (pharmaco)kinetic models



#### Data:

- Information sources on model parameters:
  - In silico predictions
  - *In vitro* data (e.g. clearance rate)
  - Historical data (e.g. on physiological parameters such as weight/height distributions).
- Human PK data on measured concentration over time in plasma, urine etc



## Case study: Physiologically-based (pharmaco)kinetic models

#### **Evaluate**

- Compare model predictions against measured PK data
- Example:
  - Niacinamide used as face cream
  - Model parameters informed using in silico or in vitro data

Parameter	Value	Reference
LogP	-0.37	(Martin 1996)
рКа	13.39 (strongest acidic); 3.63	ChemAxon
	(strongest basic)	
Solubility	500000 mg/L (at 25 °C)	MERCK INDEX (1996)
Fraction unbound in plasma	0.82	Predicted (ADMET predictor)
human blood-to-plasma	1.7	Predicted (ADMET predictor)
partition ratio		
Vmax (CYP2E1)	60.14 pmol/mg min (In vitro	(Real, Hong, and Pissios 2013)
	human liver microsomes)	
Km	2.98 mM	(Real, Hong, and Pissios 2013)
CL <sub>renal</sub>	6.098 L/h	Predicted (GastroPlus) as
		glomerular filtration rate (GFR) x
		fraction unbound in protein
		(Fup)
Intestinal absorption:	5×10^4 cm/s	Fitted from oral human
effective permeability (Peff		pharmacokinetic study (Bussink
cm/s)		et al. 2002)



Hatherell, et al 2020 Toxicological Sciences

## Case study: Physiologically-based (pharmaco)kinetic models

• Can use the model to then make predictions for other dosing regimes







## Different parameterisation levels on model accurary

- Models will almost always be informed using imperfect data.
- Given the models are used for decision making, it is important to quantify uncertainty in how wrong the models can be



Li et al, (2022) PBK modelling of topical application and characterisation of the uncertainty of  $C_{max}$  estimate: A case study approach, Toxicology and Applied Pharmacology, Volume 442



# Challenges in the acceptance of using computational approaches in NGRA





## **OECD** guidance on best practice for PBK model development



Guidance document on the characterisation, validation and reporting of Physiologically Based Kinetic (PBK) models for regulatory purposes Series on Testing and Ass No. 331 PCS **IPCS Harmonization Project Characterization and Application** of Physiologically Based **Pharmacokinetic Models** in Risk Assessment https://www.who.int/publications/i/item/9789241500906 https://www.oecd.org/chemicalsafety/risk-assessment/guidance-document-

on-the-characterisation-validation-and-reporting-of-physiologically-basedkinetic-models-for-regulatory-purposes.pdf



## Going beyond PB(P)K models

- The basic principles to bottom up modelling can be used in lots of other areas relevant to toxicology and risk assessment
- For example, for developing models of gene expression network or signalling pathways.
- The key challenge with these is there is limited data to decide on parameter or even equations.





Bas ter Braak et al, Mapping the dynamics of Nrf2 antioxidant and NFκB inflammatory responses by soft electrophilic chemicals in human liver cells defines the transition from adaptive to adverse responses (submitted)

## Top down vs bottom modelling

Unilever



## Dose response models



### The cell stress panel

#### Intended to cover off non-specific modes of action that lead to cell stress or mitochondrial toxicity



Image kindly provided by Paul Walker (Cyprotex)

OXFORD Society of Toxicology academic.oup.com/toxsci

#### TOXICOLOGICAL SCIENCES, 2020, 1-23

doi: 10.1093/toxsci/kfaa054 Advance Access Publication Date: May 6, 2020 Research article

#### Identifying and Characterizing Stress Pathways of Concern for Consumer Safety in Next-Generation Risk Assessment

Sarah Hatherell,\* Maria T. Baltazar,\* Joe Reynolds,\* Paul L. Carmichael,\* Matthew Dent,\* Hequn Li,\* Stephanie Ryder,<sup>†</sup> Andrew White,\* Paul Walker (),<sup>†</sup> and Alistair M. Middleton<sup>\*,1</sup>

\*Unilever Safetv and Environmental Assurance Centre. Colworth Science Park. Sharnbrook. Bedfordshire

36 biomarkers identified that were representative of key stress pathways, mitochondrial toxicity and cell health. Cell stress biomarkers predominantly measured using high content imaging. Includes Extracellular Flux assay to measure mitochondrial function.



### **Dose response analysis and estimating PODs**



Unilever

Hatherell et al., 2020, Identifying and characterizing stress pathways of concern for consumer safety in next generation risk assessment, Tox. Sci. https://doi.org/10.1093/toxsci/kfaa054

## Dose response analysis and estimating PODs



- Broadly, there are two approaches to doing this parametric and nonparametric
- We will focus on the **parametric** approach



Hatherell *et al.*, 2020, Identifying and characterizing stress pathways of concern for consumer safety in next generation risk assessment, Tox. Sci. <u>https://doi.org/10.1093/toxsci/kfaa054</u>

## Principles of model development and the wet-dry cycle





### Developing a dose response model

- **Problem**: We want to know:
  - Does the chemical have an effect on our biomarker
  - At what concentration does this occur?
  - $\circ~$  We want to quantify the uncertainty in these.
- Assumption: There is an increase in our biomarker, which can be captured using a Hill function.


## **Bayesian statistics – what and why**



- We want to quantify uncertainty in whether a certain event occurs, e.g.
  - Whether there is a concentration-dependent effect.
  - Whether you will reach the airport in 2 hours.
- One way to do this is through Bayesian statistics our current approach to NGRA uses it a lot!
- Here, 'the probability' is a number that reflects the **plausibility** of some event occurring based on some data.



#### **Bayesian statistics – what and why**

#### **Bayesian probability:**

- Probability reflects the **plausibility** or **belief** in some event being true.
- Provides framework for updating plausibility based on available data.
- For example, can talk about the **probability of a hypothesis being true**, or a parameter taking on a certain value.
- Key terms: credible interval, priors, posterior

#### **Frequentist probability**

- What people are normally taught in school
- Basis for **p-values** and **hypothesis testing**
- Probability reflects the relative frequency at which an event occurs in many over many repeated trials.
- Only really relevant when dealing with well-defined random experiments
- Can't use it to talk about the probability of a 'parameter taking a certain value' or a 'hypothesis being true'.



Thomas Bayes, 1701-1761



## Bayesian statistics – what and why

#### **Bayesian interpretation of probability**

- Probability quantifies the plausibility of some event.
- Bayes' theorem: Likelihood Posterior  $\longrightarrow P(X|D) = \frac{P(D|X)P(X)}{P(D)}$

Prior

- Here, D is the data and X is random variable
- E.g., X V<sub>max</sub> parameter, D experimental observations
- The key things are the likelihood, the prior and the posterior:
  - $\circ$  **Posterior**: probability that V<sub>max</sub> takes a certain value
  - $\circ$  **Likelihood**: probability of the data, given V<sub>max</sub>
  - $\circ$  **Prior**: probability reflecting initial assumptions V<sub>max</sub>



#### Back to the dose response example



#### Develop

• Main building blocks of the model:

Measured data = Mean Response + Observational Noise

$$\circ \qquad y \qquad = \quad f(x|C,\theta,V_{max}) \qquad + \quad \eta$$

- y and x are the observations and concentrations respectively.
- Assume  $\eta$  is normally distributed with standard deviation  $\sigma$

#### Using Bayesian models to quantify uncertainty



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• (full Hill equation has exponent on x and  $\theta$  to obtain sharper curves)

## **Example of a prior**

#### Develop

• Have parameters  $\theta$ , C,  $V_{max}$  and  $\sigma$  – need to be learned from the data



#### Data

• Typically you only have the measured values that you are fitting to, but you could incorporate prior knowledge (e.g. biologically plausible values) into the prior.

#### Learning parameters from the data

- One things that's important to know about Bayesian statistics is that for most problems, it is impossible to get an exact solution to the posterior.
- Resort to using methods like **Markov Chain Monte Carlo (MCMC)** to take random samples from the distribution.





#### Learning parameters from the data







CORRECT LATER ON

4 6 8 10 12 14 16 18 20



#### **Evaluating the dose response model**



- Bayesian models can be evaluated by comparing the predictive distributions to the training data
- When using parametric models is to fit data to multiple models and decide which one is best
- Sometimes you can miss effects, not because there is no effect, but because the model does a poor job of describing the data



#### **Back to the cell stress panel**





Hatherell *et al.*, 2020, Identifying and characterizing stress pathways of concern for consumer safety in next generation risk assessment, Tox. Sci. <u>https://doi.org/10.1093/toxsci/kfaa054</u>

# Challenges in the acceptance of using computational approaches in NGRA





#### Top down vs bottom modelling



data?

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# **Evaluating a toolbox of NAMs**



#### Back to the toolbox





## An evaluation strategy for the toolbox

Chemical exposures scenarios

'Low' risk (from consumer goods perspective) – e.g. foods, cosmetics

'High' risk (from consumer goods perspective) – e.g. drugs

Define typical use-case scenarios benchmark chemical-exposures; Mixture of High and low risk





## Thinking about it in terms of model development





exposures and generate data

## Identifying suitable benchmarks for the evaluation

Chemical	Exposure scenario	Risk classification
Oxybenzone	<b>2 scenarios:</b> 0.5%; 2% sunscreen	Low risk
Caffeine	<b>2 scenarios:</b> 0.2% shampoo & coffee oral consumption 50 mg	Low risk
Caffeine	10g – fatal case reports	High risk
Coumarin	<b>3 scenarios:</b> 4 mg/d oral consumption; 1.6% body lotion (dermal); TDI 0.1 mg/kg oral	Low risk
Coumarin	400 mg/kg clinical trial ~ 14 months	High risk
Hexylresorcinol	<b>3 scenarios:</b> Food residues (3.3 ug/kg); 0.4% face cream; throat lozenge 2.4 mg	Low risk
внт	Body lotion 0.5%	Low risk
Sulforaphane	<b>2 scenarios:</b> Tablet 60 mg/day; food 4.1-9.2 mg/day	Low risk
Niacinamide	4 scenarios: oral 12.5-22 mg/kg; dermal 3% body lotion and 0.1 % hair condition	Low risk
Thalidomide	<b>3 scenarios:</b> oral tablet 50 mg, 100 mg, 400 mg	High risk
Doxorubicin	75 mg/m2 IV bolus 10 min; 21 days cycles; 8 cycles	High risk
Rosiglitazone	8 mg oral tablet	High risk
Valproic Acid (VPA)	<b>2 scenarios:</b> oral tablet 1000 mg & > 60 mg/kg	High risk
Paraquat	Accidental ingestion 35 mg/kg	High risk



## **Using PBK models to predict Cmax**

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- Used a (bottom-up) PBK model to predict Cmax under different parameterisations
- Used a (top down) Bayesian statistical model to quantify the potential error in the est

## Quantifying the error in the Cmax estimates



- The PBK prediction error decreases as we go through the different parameterisation levels
- This is an empirical observation
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#### Using a Bayesian model to learn the prediction error





#### **Using PBK models to predict Cmax**





## PODS from the bioactivity platforms

Dose response plots

Niacinamide - Thalidomide - Coumarin -		HTTr BIFRO HTTr BIFRO HTTr BIFRO HTTr min pa HTTr min pa Cell stress IPP lowest a IPP max. te	ST HepaRG ST HepG2 ST MCF7 athway BMDL athway BMDL athway BMDL BIFROST AC50 sted conc. (no	HepaRG HepG2 MCF7	×	× × • × ×+	× • • ×	+ ו	•••••••••••••••••••••••••••••••••••••••		
Caffeine -					<b>-</b> ×	×+	••	•			
Valproic acid -					×	× × +	•• •				
Butylated hydroxytoluene -					×ו		•				
Paraquat dichloride -				×	<b>*●</b> × ●						
Oxybenzone -				×	= ×+	• •× •					
Hexylresorcinol -					× + ×	• • •					
Sulforaphane -			×	× +	<b>**</b>						
Rosiglitazone -			+ =	×	*	••					
Tunicamycin -		×	××	••							
Trichostatin A -		×	ו×	•							
Doxorubicin -	×	ж	••	• •				_			
$10^{-3}$ $10^{-2}$ $10^{-1}$ $10^{0}$ $10^{1}$ $10^{2}$ $10^{3}$ $10^{4}$ PoD (µM)											



#### Initial results indicate the toolbox is protective



- Blue: low risk chemical-exposure scenario
- Yellow: high risk chemical-exposure scenario
  - Protectiveness: 100%
- Utility: 62%



#### Next step for the toolbox – the full evaluation



- Planning to extend evaluation to ~40 chemicals with ~60 associated high risk and low risk exposure scenarios.
- Also in collaboration with US-EPA, expanding range of NAMs
- Adopt **iterative approach** to evaluating and then identifying potential improvements to the toolbox.
- Use of concepts from used model evaluation and development should help build confidence in the approach.



#### Thinking about the future...







Gastroplus



ToxTree



# Getting started with computational approaches...



#### Learning to code vs using existing tools

#### Programming

#### **Graphical user interfaces**





#### **PBK software**







#### **Dose response software**



#### tcpl 2.0 Data Processing

National Center for Computational Toxicology, US EPA

https://cran.rproject.org/web/packages/tcpl/vignet tes/Data\_processing.html



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